

CALIFORNIA HORSE RACING BOARD  
1010 HURLEY WAY, SUITE 300  
SACRAMENTO, CA 95825  
(916) 263-6000  
FAX (916) 263-6042



## REGULAR MEETING

Notice is hereby given that a regular meeting of the **California Horse Racing Board** will be held on, **Thursday, February 22, 2007**, commencing at **9:00 a.m.** at the **Arcadia City Hall**, 240 West Huntington Drive, **Arcadia**, California.

### AGENDA

#### Action Items:

1. Approval of the **minutes of the regular meeting of January 23, 2007.**
2. Discussion and action on the request **from the Pacific Racing Association to add two race days to their allocated 2007 race dates calendar.**
3. Public hearing by the Board on the adoption of the **proposed amendment of CHRB Rule 1481, Occupational Licenses and Fees**, to provide for the licensing of graded stakes security guards.
4. Public hearing by the Board on the adoption of the **proposed amendment of CHRB Rule 1433, Application for License to Conduct a Horse Racing Meeting**, to require associations to provide average daily purse estimates, the names of the advance deposit wagering providers and information describing first-aid facilities at the race track on the application for license.
5. Public hearing by the Board on the adoption of the **proposed amendment of CHRB Rule 1581.1, Entries**, to make explicit that a horse drawn for a post position in a race to be run may subsequently be entered in a California stakes race only.
6. Public hearing by the Board on the adoption of the **proposed amendment of CHRB Rule 1606, Coupling of Horses**, to exempt quarter horse races from the provisions of the rule.
7. Discussion and action by the Board on the **enforcement of CHRB Rule 1690.1, Toe Grabs Prohibited.**
8. Discussion and action by the Board on the **proposed repeal of CHRB Rule 1690.1, Toe Grabs Prohibited.**
9. Discussion and action by the Board on the **proposed addition of CHRB Rule 1414.5, Resolution of Disputes Relating to Agreements**, to establish procedures for resolution of disputes regarding agreements that are necessary for the conduct of a horse racing meeting.

10. Report and discussion by the Board on the **update from staff on the status of the Jockey Guilds' Health and Welfare Program.**
11. Discussion and action by the Board on the **request of Bay Meadows Racing Association to disburse charity day racing proceeds in the amount of \$40,099.31 to five beneficiaries.**
12. Report of the **Medication Committee**
13. Report of the **Strategic Planning Committee**
14. Report of the **Pari-Mutuel Operations Committee**
15. Staff report on the following concluded race meets:
  - A. **Los Alamitos Racing Association** at Los Alamitos from December 26, 2005 through December 17, 2006.

#### **Other Business**

16. **General Business:** Communications, reports, requests for future actions of the Board.  
**Note:** Persons addressing the Board under this item will be restricted to **five (5) minutes** for their presentation.
17. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by Section 11126 of the Government Code.
  - A. Personnel
  - B. Board may convene a Closed Session to consider any of the attached pending litigation.
  - C. The Board may also convene a Closed Session to consider any of the attached pending administrative licensing and disciplinary hearings.

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at [www.chrb.ca.gov](http://www.chrb.ca.gov). \*Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Jacqueline Wagner.

#### **CALIFORNIA HORSE RACING BOARD**

Richard B. Shapiro, Chairman  
John C. Harris, Vice Chairman  
John Amerman, Member  
John Andreini, Member  
William A. Bianco, Member  
Marie G. Moretti, Member  
Jerry Moss, Member  
Ingrid Fermin, Executive Director

**PROCEEDINGS of the Regular Meeting of the California Horse Racing Board held at the Arcadia City Hall, 240 West Huntington Drive, Arcadia, California, on January 23, 2007.**

Present: Richard B. Shapiro, Chairman  
John C. Harris, Vice-Chairman  
John Amerman, Member  
John Andreini, Member  
William A. Bianco, Member  
Marie G. Moretti, Member  
Jerry Moss, Member  
Ingrid J. Fermin, Executive Director  
Derry L. Knight, Deputy Attorney General

**MINUTES**

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Chairman Shapiro said he received a request from Mr. Rick English that his comments be incorporated into the minutes of the Regular Meeting of October 26, 2006. He asked for approval of the minutes of the Regular Meeting of October 26, 2006, as amended to incorporate the comments made by Mr. English. Commissioner Amerman **motioned** to approve the minutes as amended. Commissioner Moretti **seconded** the motion, which was **unanimously carried**. Chairman Shapiro asked for **approval** of the minutes of the Regular Meeting of November 27, 2006. Commissioner Moretti **motioned** to approve the minutes. Commissioner Amerman **seconded** the motion, which was **unanimously carried**.

**REPORT BY REPRESENTATIVES OF MAGNA ENTERTAINMENT CORPORATION (MEC) ON THE PROGRESS OF THE REDEVELOPMENT OF THE BARN AREA AT THE SANTA ANITA RACETRACK.**

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Frank DeMarco of Santa Anita Race Track said to accomplish the renovation of its barn area Santa Anita engaged the services of a firm to provide plans that would be in accordance with the facility's historical designation. Two construction bids were received, one of which would

be accepted once the approvals were granted. Chairman Shapiro asked if Santa Anita recalled the Board's discussion regarding the barns when it dealt with the 2007 race dates. Mr. DeMarco stated he was mindful of the time limitations.

**DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION TO CONDUCT A HORSE RACING MEETING OF THE BAY MEADOWS RACING ASSOCIATION (T) AT BAY MEADOWS, COMMENDING FEBRUARY 14, 2007 THROUGH APRIL 22, 2007, INCLUSIVE.**

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Jacqueline Wagner, CHRB staff, said the Bay Meadows Racing Association (BMRA) proposed to run February 14, 2007, through April 22, 2007, which would be 50 days, for a total of 430 races. Ms. Wagner commented BMRA did not conduct racing during the requested period in 2006. She stated the Board allocated the proposed race dates. The first post time would be 12:45 p.m. The advance deposit wagering providers would be TVG, XpressBet, and Youbet. Ms. Wagner said staff recommended the Board approve the application as presented. Chairman Shapiro asked if there were any agreements in dispute with any parties that could obstruct BMRA's opening. Bernie Thurman of BMRA stated to her knowledge everything was in order. Chairman Shapiro said he understood the horsemen's agreement was completed, but he asked if there was a way to receive such agreements in a timelier manner. Ms. Thurman stated she forwarded the agreement via e-mail to the entire Board on January 20. Chairman Shapiro commented the message might have gone into his "spam" file. Ms. Thurman said the application was an anomaly because of the short time between the close of the fall meeting and the opening of the winter meeting. She stated she and Drew Couto of Thoroughbred Owners of California (TOC) agreed to meet well in advance of the deadline for the 2008 application, so there would be no delays in producing an agreement. Mr. Couto said TOC always required

that purse schedules and revenue projections be provided 90 days in advance. However, for the BMRA application it was difficult because of the late allocation of dates, and the short time between the close of the 2006 BMRA fall meeting and the deadline to submit the application for the winter 2007 BMRA meeting. Mr. Couto commented he understood that in the past any disputes regarding agreements were referred to the Pari-Mutuel Operations Committee for resolution. He added that with the new parameters for negotiating the agreement, it should be completed by the time the application was submitted. Chairman Shapiro said he would like to see a way for the association to indicate on the application that there were no potential issues that could disrupt the opening of the race meeting. He stated he understood that might mean the negotiations might start earlier, and it might be more difficult to project purses, but as long as there was a commitment to resolve any issues, it would not jeopardize the Board's approval. Chairman Shapiro stated he understood BMRA did not race during the same period in 2006, and asked if BMRA ran during the same period in 2005. Ms. Thurman said BMRA ran a comparable period in 2005. She explained how she arrived at her projections for the meeting, and stated the TOC representative independently made his own projections. When they met, they compared numbers and came to an agreement. Vice-Chairman Harris asked if BMRA's purses were stand-alone, or were they pooled? Ms. Thurman said BMRA had an agreement with TOC to carry over purses from the spring meeting to the fall meet, so when she budgeted she looked at the entire 102 days and tried to spread purses evenly. Chairman Shapiro stated that meant BMRA supplemented its weaker periods with purse money from stronger periods within a given year. Ms. Thurman stated that was the goal. Chairman Shapiro asked what BMRA projected for its 2007 business year. Ms. Thurman stated BMRA would do well.

Chairman Shapiro asked if BMRA foresaw a 15 percent or 20 percent increase. Mike Ziegler of BMRA said his organization did not know the answer with regards to on-track handle, but it was projecting a 30 percent increase in on-track attendance as compared to the same time in 2006. Chairman Shapiro asked if protection barns would be used for high TCO2 findings. Ms. Thurman said Barn One would be used as a protection barn. Commissioner Amerman praised BMRA's marketing plan. He stated he thought it was comprehensive, and commented he believed that in the future the industry needed to reach fans on the peninsula, in San Francisco and in the East Bay; however, it appeared that BMRA was currently talking to fans that were already attending its meetings. There were a lot of younger persons in the area, and although BMRA had its Friday nights, it needed to reach out to a younger community. Mr. Ziegler said BMRA's primary attraction strategy for a younger audience was Friday nights. It was what kept BMRA going on the management side, and it was reassuring to know BMRA was working towards the future. Commissioner Amerman stated he appreciated what Mr. Ziegler was saying, but he said he always felt management could go one step further. Commissioner Amerman **motioned** to approve the application for license to conduct a horse racing meeting of BMRA. Commissioner Moretti **seconded** the motion, which was **unanimously carried**.

**REPORT BY THE RACING ASSOCIATIONS ON THE PROGRESS OF THE SELECTION OF A TOTALIZATOR PROVIDER FOR CALIFORNIA RACETRACKS.**

Chris Korby of California Authority of Racing Fairs (CARF) explained the bidding and selection process used by the California Totalizator Working Group, the industry committee formed to help guide the process. He stated the working group issued a request for proposal

(RFP) in March of 2006 to initiate a formal bidding process for the California contract. The object of the contract was to secure totalizator services for California that were state of the art. Three written proposals were received, and the bidders later gave oral presentations. After extensive review and evaluation the working group made a recommendation, which was to award the contract to Scientific Games. Mr. Korby described the new totalizator system and stated totalizator representatives were present to answer additional questions. Chairman Shapiro asked when the installation of the new terminals would begin, and if the equipment was currently in use in other jurisdictions. Joe Gomes, an independent contractor, stated the equipment would be delivered in July 2007, and the installation would be completed by the end of September 2007. Chairman Shapiro asked if persons with Personal Data Assistants (PDA), Blackberries or similar devices could interface with the wireless system. Mr. Gomes said the RFP required wireless devices. However, it would be a little while before there was software to interface with personal devices, as there were regulatory issues to be addressed. Mr. Gomes said persons who were at the track would be able to wager from their box seat or the Turf Club with handheld devices. He added that would be available in September 2007. Chairman Shapiro asked if a patron could rent a personal device to use outside the track. Mr. Gomes said the technology was such that a patron could go to a track, open an account for a PDA, and start wagering. He added there were probably regulatory issues regarding such a scenario, but it was possible. Chairman Shapiro said during 2006 there were a few technical problems that caused the system to go down. He asked if the new technology and the new data center in Sacramento would eliminate such issues. Mr. Korby stated the new system would be generations ahead of the current technology. It contained a lot of redundancy, security and

processing speed that would help achieve error free reliability. Chairman Shapiro stated a common complaint from patrons was that the odds could change after the start of the race. He asked if the new technology would address that issue. Mr. Korby said to address the problem would require a totalizator protocol that was not in place nationally. The new system would be ready to accommodate such a protocol as soon as it was in place. Terry McWilliams of Scientific Games said the current inter-track system protocol (ITSP) was outdated because it required the track system to hold wagers for all tracks on that system and then every minute it sent them en masse to the host track's totalizator system. It was that batch sending of wagers that caused the odds to change after the post break. Mr. McWilliams stated the Thoroughbred Racing Association (TRA) was working on a new protocol that was a real time transaction of every wager made in North America. The host system would recognize every individual wager and would send a signal to the guest site to print and issue a ticket. Ultimately, the new system would eliminate any odds changes. The TRA committee was in the process of writing the specifications for the system, and then the totalizator companies would collectively write the software. Mr. McWilliams said he hoped a working system would be in place by the end of 2007, but he could not set a firm date. Mr. McWilliams discussed the new wagering technology to be installed under the RFP, and the timelines for the installation. He also referred to the input provided by the Pari-Mutuel Employee's Union, which caused the redesign of a color flip top terminal. Mr. McWilliams continued by talking about the new data center in Sacramento, which was backed up by a data center in New Jersey. He provided an overview of the three systems at the California center, and the fourth system in New Jersey, which he stated was the industry's first disaster recovery system. Commissioner Amerman



asked if there was any place in the world that currently had a real time transmission system in place. Mr. McWilliams said he was not aware of any real time wagering, but he would look at the issue. Commissioner Amerman stated that was important, as if there was such a system, perhaps something could be learned from it. Chairman Shapiro said the issue was one the industry needed to solve, as it was frustrating for the fans. It was difficult to educate all the fans regarding how the system worked, and the Board needed to be responsive to the fans. Jerry Jamgotchian, a horse owner, spoke about his concerns regarding the RFP.

**DISCUSSION AND ACTION ON THE ENFORCEMENT OF CHRB RULE 1690.1, TOE GRABS PROHIBITED.**

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Jacqueline Wagner, CHRB staff, said Board Rule 1690.1, Toe Grabs Prohibited, was added in February 2006. The rule prohibited the use of toe grabs over four millimeters in height on thoroughbred horses. Subsequent to the effective date of the regulation it was learned that few manufacturers made shoes with toe grabs that met the height requirement. In addition, no provisions were made for the use of toe grabs with jar calks. This resulted in a delay in the implementation of the regulation. In July 2006 staff proposed an amendment to the regulation that would increase the allowed height of toe grabs and authorize the use of jar calks under certain conditions. The Board did not adopt the proposed amendment, and Ms. Wagner added the delay in the implementation of the regulation was still in effect. Chairman Shapiro stated that since the regulations was added synthetic racing surfaces were mandated in California. He said it would be appropriate to hear all sides of the issue. Dr. Rick Arthur, CHRB Equine Medical Director, said there was no question that regulating toe grabs would save horses' lives. The data was irrefutable, and had been available for over ten years. However, there

was no data regarding toe grabs and synthetic racing surfaces. Dr. Arthur added the hypothesis for the mechanism of action as to why toe grabs increased risk of fatal injuries was a lever effect, so it should be translatable to any track. Chairman Shapiro asked if Dr. Arthur knew the percentage of horses running with high toe grabs. Dr. Arthur said he did not know the percentage, but there probably were not a lot. Chairman Shapiro asked if the studies took into consideration the effect of shoeing. Did a longer toe or a shorter toe, or the angles have an effect? Dr. Arthur stated all the factors were measured in the original study and no correlation was found. In more recent studies in Oklahoma and California there was a correlation with low heels, which had the same effect of increasing the lever effect. Dr. Arthur said the inciting injury was actually a soft tissue injury to the suspensory ligament. Vice-Chairman Harris stated he realized there were those who thought the Board should not enforce the regulation, but the rule was in place, and he believed a decision should be made regarding its enforcement. With regards to synthetic racing surfaces, Vice-Chairman Harris said he understood flat shoes were the norm. However, California had some tracks that probably would not install a synthetic surface and a uniform rule was needed for the protection of the horse. Vice-Chairman Harris stated the regulation was a step in that direction; it would help the horse and provide some added protection for the rider. Sherwood Chillingworth of Oak Tree Racing Association said the French did not allow toe grabs on synthetic racing surfaces or traditional dirt surfaces. He said they felt it damaged the track and was dangerous to the horse. Guy Lamothe of Thoroughbred Owners of California stated his organization would urge the Board to implement the regulation. Ed Halpern of California Thoroughbred Trainers (CTT) opposed the enforcement of the regulation. Mr. Halpern said with the advent

of synthetic racing surfaces there was no basis for enforcing the rule. John Shirreffs, a horse trainer, spoke in opposition to enforcing the regulation. Jerry Jamgotchian, a horse owner, spoke in opposition to the regulation. Commissioner Moretti said the issue was raised several years ago and the Board held several meetings to discuss it. She stated that when it came to the health and safety of the horse and jockey the Board had a duty to make its desires known. Commissioner Moretti said she had seen enough evidence regarding the effects of high toe grabs on the musculoskeletal structure of a horse to state the regulation should be enforced. Commissioner Moss stated he would like to see more research regarding horses that broke down while wearing high toe grabs. He stated there were so many variables regarding equipment and conditions of the track that the Board should trust trainers to do what is best for the health of the horse. Commissioner Amerman stated with the introduction of synthetic tracks the industry was in transition. Because there was no data on high toe grabs and synthetic track surfaces the issue should be further studied. Deputy Attorney General Derry Knight said the rule was not being enforced, and if the decision by the Board was not to enforce the rule, it should at some point be taken off the books. Vice-Chairman Harris **motioned** to enforce Rule 1690.1. Commissioner Moretti **seconded** the motion, which was **not carried**, with Vice-Chairman Harris, Commissioner Moretti and Commissioner Bianco voting "yes" and Chairman Shapiro, Commissioner Amerman, Commissioner Andreini and Commissioner Moss voting "no." Chairman Shapiro said staff should move forward to initiate the repeal of rule 1690.1.

**REPORT ON THE STATUS OF THE JOCKEY HEALTH ASSESSMENT STUDY.**

Executive Director Ingrid Fermin said the first phase of the Jockey Health and Nutrition Study began on December 12, 2006, at Bay Meadows. Following Bay Meadows, jockeys at Hollywood Park and Turf Paradise were surveyed. Executive Director Fermin stated 53 jockeys volunteered for the study; 48 men and six women. To date, the study looked at body composition, strength, flexibility and blood and urine samples. In addition, the jockeys were extensively interviewed. Chairman Shapiro thanked Executive Director Fermin for her report. He stated the study was a positive move for California riders, and perhaps it would lead to some insights.

**REPORT FROM THE CHRB EQUINE MEDICAL DIRECTOR CONCERNING EQUINE HERPES VIRUS (EHV-1) AT CALIFORNIA RACETRACKS.**

Dr. Rick Arthur, CHRB Equine Medical Director, stated an outbreak of Equine Herpes Virus (EHV) occurred in December 2006. The EHV was a neuropathogenic form that caused neurological conditions, and up to seven horses died. A California thoroughbred was exposed to a horse that carried the EHV, and subsequently died after 48 hours. Horses at Santa Anita and Hollywood Park were subsequently monitored carefully, and there were no problems. Dr. Arthur said UC Davis was capable of conducting PCR testing, which was an advanced form of testing for the virus. On December 28 a horse was referred to UC Davis with neurological signs, and on the morning of December 29 a cooperative quarantine between Golden Gate Fields, Bay Meadows and Pleasanton was in effect. The affected barn was placed under quarantine and was monitored closely with PCR testing. With industry cooperation regarding testing, the quarantine was released within 12 days, which was a very short period of time.

Dr. Arthur said another problem arose at Los Alamitos, and using the experience at Golden Gate Fields, the barn where the horse originated was quarantined. That quarantine was released on January 22, 2007. Dr. Arthur stated seminars regarding the virus were held at Santa Anita and Hollywood Park and a total of 90 veterinarians from the area attended. He commented the virus had been around a long time, and it was something the industry would have to learn to live with, and systems needed to be implemented to deal with outbreaks. Jerry Jamgotchian, a horse owner, spoke about his concerns regarding the recent outbreak of EHV, and the quarantine at Northern California racetracks.

#### **REPORT OF THE MEDICATION COMMITTEE.**

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Chairman Shapiro said the Medication Committee (committee) had a series of meetings, including one on January 23, 2007. He stated the committee discussed the Racing Medication and Testing Consortium penalty guidelines, and the proposed addition of Rule 1843.3, Penalties for Medication Violations, and the amendment of Rule 1843.2, Classification of Drug Substances. Chairman Shapiro said the regulations had been discussed for well over one year, and were in a form that he believed the Board could adopt. He asked Dr. Rick Arthur, CHRB Equine Medical Director, to discuss the regulations. Dr. Arthur described the regulatory scheme of Rule 1843.2 and Rule 1843.3. He stated the rules provided a system that gave the Board the opportunity to have uniform penalties. He stated the penalty guidelines were tough, but they offered an opportunity for fairness.

**DISCUSSION AND ACTION ON THE RACING MEDICATION AND TESTING CONSORTIUM (RMTC) PENALTY GUIDELINES AND THE PROPOSED ADDITION OF AND AMENDMENT TO:**

**A. CHRB RULE 1843.3, PENALTIES FOR MEDICATION VIOLATIONS**

**B. CHRB RULE 1843.2, CLASSIFICATION OF DRUG SUBSTANCES**

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Jacqueline Wagner, CHRB staff, said the proposed amendment of Board Rule 1843.2, Classification of Drug Substances and the proposed addition of Rule 1843.3, Penalties for Medication Violations, were discussed at the Medication Committee (committee) meeting on January 23, 2007. The committee endorsed the proposals, and staff recommended the Board instruct staff to initiate a 45-day public comment period. Commissioner Moretti asked if the penalty guidelines included sanctions for veterinarians. Dr. Rick Arthur, Equine Medical Director, stated all licensees were subject to the penalties. He said, however, it would be the obligation of the Board to demonstrate culpability of a licensee, including veterinarians. Chairman Shapiro said the intent of the penalty guidelines was to hold any party responsible if they participated in the administration of illegal drugs. Jerry Jamgotchian, a horse owner, spoke about his concerns regarding the proposed regulations. Chairman Shapiro **motioned** to direct staff to initiate a 45-day public comment period regarding the proposed amendment to Rule 1843.2 and the addition of Rule 1843.3. Vice-Chairman Harris **seconded** the motion, which was **unanimously carried**.

**STAFF REPORT ON THE FOLLOWING CONCLUDED RACE MEETS:**

- A. BAY MEADOWS RACING ASSOCIATION AT BAY MEADOWS FROM DECEMBER 26, 2005 THROUGH DECEMBER 18, 2006.**
  - B. PACIFIC RACING ASSOCIATION AT GOLDEN GATE FIELDS FROM FEBRUARY 8, 2006 THROUGH OCTOBER 15, 2006.**
  - C. HOLLYWOOD PARK FALL RACING ASSOCIATION AT HOLLYWOOD PARK FROM NOVEMBER 1, 2006 THROUGH DECEMBER 18, 2006.**
  - D. SACRAMENTO HARNESS ASSOCIATION AT CAL-EXPO FROM JULY 30, 2006 THROUGH DECEMBER 16, 2006.**
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Bon Smith, Assistant Executive Director, said the report contained results from four concluded race meetings. He stated representatives of the associations were present to answer any questions. Eual Wyatt of Hollywood Park said his organization was very pleased with its meeting. The average field size and handle were up, and the real highlight was the atmosphere and attitude on the backside due to the installation of the synthetic racing surface. Chairman Shapiro said the Board appreciated the effort Hollywood Park made to install a synthetic racing surface. He stated the industry was seeing the benefit of the surface in full barn areas and increased field sizes. Bernie Thurman of Bay Meadows spoke about the racing year at Bay Meadows. She stated the on-track attendance was up significantly as was the on-track handle. In addition, Bay Meadows set an all time Northern California record for handle on Breeders' Cup Day. Ms. Thurman stated Bay Meadows did a lot of promotion and kept fans involved and informed. Calvin Rainey of Golden Gate Fields spoke about his organization's race meeting. Commissioner Amerman asked who was Golden Gate Field's target audience. Mr. Rainey said Golden Gate's audience was San Francisco, Oakland and the East Bay. He commented it was difficult to get to Golden Gate from San Francisco and every time there was a bridge closure, it affected on-track attendance. Golden Gate was putting more money into advertising and was using television, which seemed to be working well.

GENERAL BUSINESS

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Chairman Shapiro said there would be a Strategic Planning Committee (SPC) meeting on February 21, 2007. He stated there was some conversation regarding an ad-hoc committee and stated he would like to appoint Drew Couto as its Chairman. He asked that the ad hoc committee meet prior to the SPC meeting, so it could provide input. Commissioner Moss asked if there was any news regarding legislation for greater latitude in planning the racing calendar. Chairman Shapiro said there would be a Legislative Committee meeting on February 21, 2007, and legislative issues would be discussed at that time. Assistant Executive Director Bon Smith said staff received submissions regarding jockey's health insurance immediately before the Board package was distributed, and it was determined there was not sufficient time to analyze the content of those submissions. He stated a report would not be available until the February 2007 Regular Board Meeting. Assistant Executive Director Smith said he conducted an historical assessment of the Board's budget. For the 15-year period from 1991-92 to 2005-06, the Board had a 3.3 percent increase in its budget. He commented the increase was not enough to cover the cost of living increases over that period of time, or the cost increases in personnel, contracted services and legal services. Assistant Executive Director Smith stated he would do a more thorough investigation and would keep the Board informed. Chairman Shapiro said he understood the Board had not been active in submitting budget change proposals in the past, but that would change. Jerry Jamgotchian, a horse owner, spoke about his concerns regarding a number of issues. Rick English, a certified public accountant, said John Reagan, CHRB Senior Management Auditor, was retiring. Mr. English stated he had worked with Mr. Reagan over a 20-year period, through the advent of satellite wagering, inter-



track wagering, intra-state wagering, and advance deposit wagering. Mr. Reagan had always been as knowledgeable as anyone in the industry and he would be sorely missed as a technical reference, and a man of integrity and responsibility. Mr. English said he wished to put on the record how much he had appreciated Mr. Reagan's help over the years.

**MEETING ADJOURNED AT 5:00 P.M.**

A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

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Chairman

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Executive Director

STAFF ANALYSIS  
REQUEST FROM PACIFIC RACING ASSOCIATION  
TO ADD TWO RACE DAYS TO THEIR ALLOCATED  
2007 RACE DATES CALENDAR

Regular Board Meeting  
February 22, 2007

## BACKGROUND

Business and Professions (B&P) Code Section 19530 provides the Board shall have the authority to allocate racing weeks to an applicant and to specify such racing days, dates, and hours for horse racing meetings as will be in the public interest. B&P Code Section 19531 states the Board shall make allocations of racing weeks, including simultaneous racing between zones, as it deems appropriate. The California Horse Racing Board approved the 2007 race dates calendar at the October 26, 2006 Regular Board Meeting. Pacific Racing Association was approved to conduct a thoroughbred horse racing meeting at Golden Gate Fields beginning April 25 through June 10, 2007, or 35 days. They will be racing five days per week, Wednesday through Sunday, with the exception of the six day week beginning Wednesday, May 23-28, 2007. Actual racing will be held: April 25-29; May 2-6, 9-13, 16-20, 23-28, 31; June 1-3, 6-10.

## ANALYSIS

Pacific Racing Association is requesting two additional race days to its spring race meeting. The additional days, Tuesday, May 1 and Wednesday, May 30, 2007, are requested to run concurrently with Hollywood Park.

## RECOMMENDATION

Staff recommends the Board hear from The Pacific Racing Association.

# Golden Gate Fields

February 1, 2007

Ingrid Fermin  
California Horse Racing Board  
Del Mar Headquarters  
2260 Jimmy Durante Blvd.  
Del Mar, CA 92014

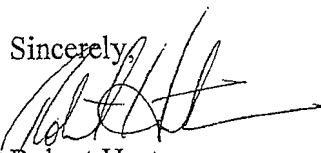
Subject: Addition of 2 race days to PRA's Spring Racing Calendar

Dear Ingrid:

Pacific Racing Association respectfully requests permission to add 2 race days to our 2007 CHRB Spring racing calendar. We would like to add Tuesday, May 1, 2007 and Wednesday, May 30, 2007 in order to coordinate racing between Hollywood Park and Golden Gate Fields.

Thank you for your consideration.

Sincerely,



Robert Hartman  
General Manager

RH:mls

cc: CHRB Sacramento office

# NORTHERN CALIFORNIA THOROUGHBRED RACING CALENDAR 2007

**LEGEND:**

GGF 97

Import Only Days

**102**

Thoroughbred Associations 199

**CARF FAIRS**6/14-8/19 & 10/3-10/14 **74****Total 273****December**

| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
|-----|-----|-----|-----|-----|-----|-----|
|     |     | 26  | 27  | 28  | 29  | 30  |
| 31  |     |     |     |     |     |     |

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**January**

| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
|-----|-----|-----|-----|-----|-----|-----|
|     | 1   | 2   | 3   | 4   | 5   | 6   |
| 7   | 8   | 9   | 10  | 11  | 12  | 13  |
| 14  | 15  | 16  | 17  | 18  | 19  | 20  |
| 21  | 22  | 23  | 24  | 25  | 26  | 27  |
| 28  | 29  | 30  | 31  |     |     |     |

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**February**

| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
|-----|-----|-----|-----|-----|-----|-----|
|     |     |     |     | 1   | 2   | 3   |
| 4   | 5   | 6   | 7   | 8   | 9   | 10  |
| 11  | 12  | 13  |     |     |     |     |
|     |     | 20  | 21  |     |     |     |
|     | 26  | 27  |     |     |     |     |

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**March**

| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
|-----|-----|-----|-----|-----|-----|-----|
|     |     |     |     |     |     |     |
|     | 5   | 6   |     |     |     |     |
|     | 12  | 13  |     |     |     |     |
|     | 19  | 20  |     |     |     |     |
|     | 26  | 27  |     |     |     |     |

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**April**

| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
|-----|-----|-----|-----|-----|-----|-----|
|     | 2   | 3   |     |     |     |     |
|     | 9   | 10  |     |     |     |     |
|     | 16  | 17  |     |     |     |     |
|     | 23  | 24  | 25  | 26  | 27  | 28  |
| 29  | 30  |     |     |     |     |     |

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**May**

| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
|-----|-----|-----|-----|-----|-----|-----|
|     |     | 1   | 2   | 3   | 4   | 5   |
| 6   | 7   | 8   | 9   | 10  | 11  | 12  |
| 13  | 14  | 15  | 16  | 17  | 18  | 19  |
| 20  | 21  | 22  | 23  | 24  | 25  | 26  |
| 27  | 28  | 29  | 30  | 31  |     |     |

22

**June**

| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
|-----|-----|-----|-----|-----|-----|-----|
|     |     |     |     |     | 1   | 2   |
| 3   | 4   | 5   | 6   | 7   | 8   | 9   |
| 10  | 11  | 12  | 13  | 14  | 15  | 16  |
| 17  | 18  | 19  | 20  | 21  | 22  | 23  |
| 24  | 25  | 26  | 27  | 28  | 29  | 30  |

8

**July**

| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
|-----|-----|-----|-----|-----|-----|-----|
| 1   | 2   | 3   | 4   | 5   | 6   | 7   |
| 8   | 9   | 10  | 11  | 12  | 13  | 14  |
| 15  | 16  | 17  | 18  | 19  | 20  | 21  |
| 22  | 23  | 24  | 25  | 26  | 27  | 28  |
| 29  | 30  | 31  |     |     |     |     |

0

**August**

| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
|-----|-----|-----|-----|-----|-----|-----|
|     |     |     | 1   | 2   | 3   | 4   |
| 5   | 6   | 7   | 8   | 9   | 10  | 11  |
| 12  | 13  | 14  | 15  | 16  | 17  | 18  |
| 19  | 20  | 21  |     |     |     |     |
|     | 27  | 28  |     |     |     |     |

0

**September**

| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
|-----|-----|-----|-----|-----|-----|-----|
|     |     |     |     |     |     |     |
|     |     | 4   |     | 6   |     |     |
|     | 10  | 11  |     |     |     |     |
|     | 17  | 18  |     |     |     |     |
|     | 24  | 25  |     |     |     |     |

0

**October**

| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
|-----|-----|-----|-----|-----|-----|-----|
|     | 1   | 2   | 3   | 4   |     |     |
|     |     | 9   | 10  | 11  |     |     |
|     | 15  | 16  |     |     |     |     |
|     | 22  | 23  |     |     |     |     |
|     | 29  | 30  |     |     |     |     |

0

**November**

| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
|-----|-----|-----|-----|-----|-----|-----|
|     |     |     |     |     |     |     |
|     | 5   | 6   | 7   | 8   | 9   | 10  |
| 11  | 12  | 13  | 14  | 15  | 16  | 17  |
| 18  | 19  | 20  | 21  | 22  | 23  | 24  |
| 25  | 26  | 27  | 28  | 29  | 30  |     |

18

**December**

| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
|-----|-----|-----|-----|-----|-----|-----|
|     |     |     |     |     |     | 1   |
| 2   | 3   | 4   | 5   | 6   | 7   | 8   |
| 9   | 10  | 11  | 12  | 13  | 14  | 15  |
| 16  | 17  | 18  | 19  | 20  | 21  | 22  |
| 23  | 24  | 25  | 26  | 27  | 28  | 29  |
| 30  | 31  |     |     |     |     |     |

13

SOUTHERN CALIFORNIA THOROUGHBREDS  
APPROVED DATES FOR 2007

| December |     |     |     |     |     |     |
|----------|-----|-----|-----|-----|-----|-----|
| Sun      | Mon | Tue | Wed | Thu | Fri | Sat |
|          |     |     | 27  |     |     |     |

Legend:

Del Mar 43



| January |     |     |     |     |     |     |
|---------|-----|-----|-----|-----|-----|-----|
| Sun     | Mon | Tue | Wed | Thu | Fri | Sat |
|         |     | 2   | 3   |     |     |     |
|         | 8   | 9   |     |     |     |     |
|         |     | 16  | 17  |     |     |     |
|         | 22  | 23  |     |     |     |     |
|         | 29  | 30  |     |     |     |     |

| February |     |     |     |     |     |     |
|----------|-----|-----|-----|-----|-----|-----|
| Sun      | Mon | Tue | Wed | Thu | Fri | Sat |
|          | 5   | 6   |     |     |     |     |
|          | 12  | 13  |     |     |     |     |
|          |     | 20  | 21  |     |     |     |
|          | 26  | 27  |     |     |     |     |

| March |     |     |     |     |     |     |
|-------|-----|-----|-----|-----|-----|-----|
| Sun   | Mon | Tue | Wed | Thu | Fri | Sat |
|       | 5   | 6   |     |     |     |     |
|       | 12  | 13  |     |     |     |     |
|       | 19  | 20  |     |     |     |     |
|       | 26  | 27  |     |     |     |     |

| April |     |     |     |     |     |     |
|-------|-----|-----|-----|-----|-----|-----|
| Sun   | Mon | Tue | Wed | Thu | Fri | Sat |
|       | 2   | 3   |     |     |     |     |
|       | 9   | 10  |     |     |     |     |
|       | 16  | 17  |     |     |     |     |
|       | 23  | 24  |     |     |     |     |
|       | 30  |     |     |     |     |     |

| May |     |     |     |     |     |     |
|-----|-----|-----|-----|-----|-----|-----|
| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
|     |     | 1   |     |     |     |     |
|     | 7   | 8   |     |     |     |     |
|     | 14  | 15  |     |     |     |     |
|     | 21  | 22  |     |     |     |     |
|     |     | 29  |     |     |     |     |

| June |     |     |     |     |     |     |
|------|-----|-----|-----|-----|-----|-----|
| Sun  | Mon | Tue | Wed | Thu | Fri | Sat |
|      | 4   | 5   |     |     |     |     |
|      | 11  | 12  |     |     |     |     |
|      | 18  | 19  |     |     |     |     |
|      | 25  | 26  |     |     |     |     |

| July |     |     |     |     |     |     |
|------|-----|-----|-----|-----|-----|-----|
| Sun  | Mon | Tue | Wed | Thu | Fri | Sat |
|      |     | 3   |     |     |     |     |
|      | 9   | 10  |     |     |     |     |
|      |     | 17  | 18  | 19  | 20  | 21  |
| 22   | 23  | 24  | 25  | 26  | 27  | 28  |
| 29   | 30  | 31  |     |     |     |     |

| August |     |     |     |     |     |     |
|--------|-----|-----|-----|-----|-----|-----|
| Sun    | Mon | Tue | Wed | Thu | Fri | Sat |
|        |     |     | 1   | 2   | 3   | 4   |
| 5      | 6   | 7   | 8   | 9   | 10  | 11  |
| 12     | 13  | 14  | 15  | 16  | 17  | 18  |
| 19     | 20  | 21  | 22  | 23  | 24  | 25  |
| 26     | 27  | 28  | 29  | 30  | 31  |     |

| September |     |     |     |     |     |     |
|-----------|-----|-----|-----|-----|-----|-----|
| Sun       | Mon | Tue | Wed | Thu | Fri | Sat |
|           |     |     |     |     |     | 1   |
| 2         | 3   | 4   | 5   | 6   |     |     |
|           |     | 11  |     |     |     |     |
|           |     | 18  |     |     |     |     |
|           |     | 25  |     |     |     |     |

| October |     |     |     |     |     |     |
|---------|-----|-----|-----|-----|-----|-----|
| Sun     | Mon | Tue | Wed | Thu | Fri | Sat |
|         | 1   | 2   |     |     |     |     |
|         |     | 9   |     |     |     |     |
|         | 15  | 16  |     |     |     |     |
|         | 22  | 23  |     |     |     |     |
|         | 29  | 30  |     |     |     |     |

| November |     |     |     |     |     |     |
|----------|-----|-----|-----|-----|-----|-----|
| Sun      | Mon | Tue | Wed | Thu | Fri | Sat |
|          |     |     |     |     |     |     |
|          | 5   | 6   |     |     |     |     |
|          | 12  | 13  |     |     |     |     |
|          | 19  | 20  |     |     |     |     |
|          | 26  | 27  |     |     |     |     |

| December |     |     |     |     |     |     |
|----------|-----|-----|-----|-----|-----|-----|
| Sun      | Mon | Tue | Wed | Thu | Fri | Sat |
|          |     |     |     |     |     |     |
|          | 3   | 4   |     |     |     |     |
|          | 10  | 11  |     |     |     |     |
|          |     | 18  |     |     |     | 22  |
| 23       | 24  |     |     |     |     |     |

**NORTHERN NIGHT INDUSTRY - HARNESS  
APPROVED DATES FOR 2007**

Legend:



**December**

| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
|-----|-----|-----|-----|-----|-----|-----|
| 31  | 26  | 26  | 27  |     |     |     |

**January**

| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
|-----|-----|-----|-----|-----|-----|-----|
|     | 1   | 2   | 3   |     |     |     |
| 7   | 8   | 9   |     |     |     |     |
| 14  | 15  | 16  | 17  |     |     |     |
| 21  | 22  | 23  |     |     |     |     |
| 28  | 29  | 30  |     |     |     |     |

**February**

| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
|-----|-----|-----|-----|-----|-----|-----|
|     |     |     |     |     |     |     |
| 4   | 5   | 6   |     |     |     |     |
| 11  | 12  | 13  |     |     |     |     |
| 18  | 19  | 20  | 21  |     |     |     |
| 25  | 26  | 27  |     |     |     |     |

**March**

| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
|-----|-----|-----|-----|-----|-----|-----|
|     |     |     |     |     |     |     |
| 4   | 5   | 6   |     |     |     |     |
| 11  | 12  | 13  |     |     |     |     |
| 18  | 19  | 20  |     |     |     |     |
| 25  | 26  | 27  |     |     |     |     |

**April**

| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
|-----|-----|-----|-----|-----|-----|-----|
| 1   | 2   | 3   |     |     |     |     |
| 8   | 9   | 10  |     |     |     |     |
| 15  | 16  | 17  |     |     |     |     |
| 22  | 23  | 24  |     |     |     |     |
| 29  | 30  |     |     |     |     |     |

**May**

| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
|-----|-----|-----|-----|-----|-----|-----|
|     |     | 1   |     |     |     |     |
| 6   | 7   | 8   |     |     |     |     |
| 13  | 14  | 15  |     |     |     |     |
| 20  | 21  | 22  | 23  |     |     |     |
| 27  | 28  | 29  |     |     |     |     |

**June**

| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
|-----|-----|-----|-----|-----|-----|-----|
|     |     |     |     |     |     |     |
| 3   | 4   | 5   |     |     |     |     |
| 10  | 11  | 12  |     |     |     |     |
| 17  | 18  | 19  |     |     |     |     |
| 24  | 25  | 26  |     |     |     |     |

**July**

| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
|-----|-----|-----|-----|-----|-----|-----|
| 1   | 2   | 3   | 4   |     |     |     |
| 8   | 9   | 10  |     |     |     |     |
| 15  | 16  | 17  |     |     |     |     |
| 22  | 23  | 24  |     |     |     |     |
| 29  | 30  | 31  |     |     |     |     |

**August**

| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
|-----|-----|-----|-----|-----|-----|-----|
|     |     |     | 1   | 2   | 3   | 4   |
| 5   | 6   | 7   | 8   | 9   | 10  | 11  |
| 12  | 13  | 14  | 15  | 16  | 17  | 18  |
| 19  | 20  | 21  | 22  | 23  | 24  | 25  |
| 26  | 27  | 28  | 29  | 30  | 31  |     |

**September**

| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
|-----|-----|-----|-----|-----|-----|-----|
|     |     |     |     |     |     | 1   |
| 2   | 3   | 4   | 5   | 6   | 7   | 8   |
| 9   | 10  | 11  | 12  | 13  | 14  | 15  |
| 16  | 17  | 18  | 19  | 20  |     |     |
| 23  | 24  | 25  |     |     |     |     |
| 30  |     |     |     |     |     |     |

**October**

| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
|-----|-----|-----|-----|-----|-----|-----|
|     | 1   | 2   |     |     |     |     |
| 7   | 8   | 9   |     |     |     |     |
| 14  | 15  | 16  |     |     |     |     |
| 21  | 22  | 23  |     |     |     |     |
| 28  | 29  | 30  |     |     |     |     |

**November**

| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
|-----|-----|-----|-----|-----|-----|-----|
|     |     |     |     |     |     |     |
| 4   | 5   | 6   |     |     |     |     |
| 11  | 12  | 13  |     |     |     |     |
| 18  | 19  | 20  | 22  |     |     |     |
| 25  | 26  | 27  |     |     |     |     |

**December**

| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
|-----|-----|-----|-----|-----|-----|-----|
|     |     |     |     |     |     |     |
| 2   | 3   | 4   |     |     |     |     |
| 9   | 10  | 11  |     |     |     |     |
| 16  | 17  | 18  |     |     |     |     |
| 23  | 24  |     |     |     |     |     |

**SOUTHERN NIGHT INDUSTRY  
APPROVED DATES FOR 2007**

Legend:



| December |     |     |     |     |     |     |
|----------|-----|-----|-----|-----|-----|-----|
| Sun      | Mon | Tue | Wed | Thu | Fri | Sat |
|          |     | 26  | 27  |     |     |     |
| 31       |     |     |     |     |     |     |

| January |     |     |     |     |     |     |
|---------|-----|-----|-----|-----|-----|-----|
| Sun     | Mon | Tue | Wed | Thu | Fri | Sat |
|         | 1   | 2   | 3   |     |     |     |
|         | 8   | 9   | 10  |     |     |     |
|         | 15  | 16  | 17  |     |     |     |
|         | 22  | 23  | 24  |     |     |     |
|         | 29  | 30  | 31  |     |     |     |

| February |     |     |     |     |     |     |
|----------|-----|-----|-----|-----|-----|-----|
| Sun      | Mon | Tue | Wed | Thu | Fri | Sat |
|          | 5   | 6   | 7   |     |     |     |
|          | 12  | 13  | 14  |     |     |     |
|          | 19  | 20  | 21  |     |     |     |
|          | 26  | 27  | 28  |     |     |     |

| March |     |     |     |     |     |     |
|-------|-----|-----|-----|-----|-----|-----|
| Sun   | Mon | Tue | Wed | Thu | Fri | Sat |
|       | 5   | 6   | 7   |     |     |     |
|       | 12  | 13  | 14  |     |     |     |
|       | 19  | 20  | 21  |     |     |     |
|       | 26  | 27  | 28  |     |     |     |

| April |     |     |     |     |     |     |
|-------|-----|-----|-----|-----|-----|-----|
| Sun   | Mon | Tue | Wed | Thu | Fri | Sat |
|       | 2   | 3   | 4   |     |     |     |
|       | 9   | 10  | 11  |     |     |     |
|       | 16  | 17  | 18  |     |     |     |
|       | 23  | 24  | 25  |     |     |     |
|       | 30  |     |     |     |     |     |

| May |     |     |     |     |     |     |
|-----|-----|-----|-----|-----|-----|-----|
| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
|     |     | 1   | 2   |     |     |     |
|     | 7   | 8   | 9   |     |     |     |
|     | 14  | 15  | 16  |     |     |     |
|     | 21  | 22  | 23  |     |     |     |
|     | 28  | 29  | 30  |     |     |     |

| June |     |     |     |     |     |     |
|------|-----|-----|-----|-----|-----|-----|
| Sun  | Mon | Tue | Wed | Thu | Fri | Sat |
|      | 4   | 5   | 6   |     |     |     |
|      | 11  | 12  | 13  |     |     |     |
|      | 18  | 19  | 20  |     |     |     |
|      | 25  | 26  | 27  |     |     |     |

| July |     |     |     |     |     |     |
|------|-----|-----|-----|-----|-----|-----|
| Sun  | Mon | Tue | Wed | Thu | Fri | Sat |
|      | 2   | 3   | 4   |     |     |     |
|      | 9   | 10  | 11  |     |     |     |
|      | 16  | 17  | 18  |     |     |     |
|      | 23  | 24  | 25  |     |     |     |
|      | 30  | 31  |     |     |     |     |

| August |     |     |     |     |     |     |
|--------|-----|-----|-----|-----|-----|-----|
| Sun    | Mon | Tue | Wed | Thu | Fri | Sat |
|        |     |     | 1   |     |     |     |
|        | 6   | 7   | 8   |     |     |     |
|        | 13  | 14  | 15  |     |     |     |
|        | 20  | 21  | 22  |     |     |     |
|        | 27  | 28  | 29  |     |     |     |

| September |     |     |     |     |     |     |
|-----------|-----|-----|-----|-----|-----|-----|
| Sun       | Mon | Tue | Wed | Thu | Fri | Sat |
|           | 3   | 4   | 5   |     |     |     |
|           | 10  | 11  | 12  |     |     |     |
|           | 17  | 18  | 19  |     |     |     |
|           | 24  | 25  | 26  |     |     |     |

| October |     |     |     |     |     |     |
|---------|-----|-----|-----|-----|-----|-----|
| Sun     | Mon | Tue | Wed | Thu | Fri | Sat |
|         | 1   | 2   | 3   |     |     |     |
|         | 8   | 9   | 10  |     |     |     |
|         | 15  | 16  | 17  |     |     |     |
|         | 22  | 23  | 24  |     |     |     |
|         | 29  | 30  | 31  |     |     |     |

| November |     |     |     |     |     |     |
|----------|-----|-----|-----|-----|-----|-----|
| Sun      | Mon | Tue | Wed | Thu | Fri | Sat |
|          | 5   | 6   | 7   |     |     |     |
|          | 12  | 13  | 14  |     |     |     |
|          | 19  | 20  | 21  | 22  |     |     |
|          | 26  | 27  | 28  |     |     |     |

| December |     |     |     |     |     |     |
|----------|-----|-----|-----|-----|-----|-----|
| Sun      | Mon | Tue | Wed | Thu | Fri | Sat |
|          | 3   | 4   | 5   |     |     |     |
|          | 10  | 11  | 12  |     |     |     |
|          | 17  | 18  | 19  |     |     |     |
|          | 24  |     |     |     |     |     |



# California Fairs Racing Calendar 2007

**LEGEND:**

**CARF Racing Days 74**

**Pleasanton (6/27- 7/8) 11**

**June**

| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
|-----|-----|-----|-----|-----|-----|-----|
|     |     |     |     |     | 1   | 2   |
| 3   | 4   | 5   | 6   | 7   | 8   | 9   |
| 10  | 11  | 12  | 13  |     |     |     |
|     | 18  | 19  |     |     |     |     |
|     | 25  | 26  | 27  | 28  | 29  | 30  |

**July**

| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
|-----|-----|-----|-----|-----|-----|-----|
| 1   | 2   | 3   | 4   | 5   | 6   | 7   |
| 8   | 9   | 10  |     |     |     |     |
|     | 16  | 17  |     |     |     |     |
|     | 23  | 24  |     |     |     |     |
|     |     | 31  |     |     |     |     |

**August**

| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
|-----|-----|-----|-----|-----|-----|-----|
|     |     |     |     |     |     |     |
|     |     | 7   |     |     |     |     |
|     |     | 14  |     |     |     |     |
|     | 20  | 21  | 22  | 23  | 24  | 25  |
| 26  | 27  | 28  | 29  | 30  | 31  |     |

**September**

| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
|-----|-----|-----|-----|-----|-----|-----|
|     |     |     |     |     |     | 1   |
| 2   | 3   | 4   | 5   | 6   |     |     |
|     |     | 11  |     |     |     |     |
|     |     | 18  |     |     |     |     |
|     |     | 25  | 26  | 27  | 28  | 29  |
| 30  |     |     |     |     |     |     |

**October**

| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
|-----|-----|-----|-----|-----|-----|-----|
|     | 1   | 2   |     |     |     |     |
|     |     | 9   |     |     |     |     |
|     | 15  | 16  | 17  | 18  | 19  | 20  |
| 21  | 22  | 23  | 24  | 25  | 26  | 27  |
| 28  | 29  | 30  | 31  |     |     |     |

STAFF ANALYSIS  
PROPOSED AMENDMENT OF  
RULE 1481. OCCUPATIONAL LICENSES AND FEES

Regular Board Meeting  
February 22, 2007

## BACKGROUND

Business and Professions (B&P) Code Section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include, but not be limited to, licensing of each racing association and all persons, other than the public at large, who participate in a horse racing meeting with pari-mutuel wagering. B&P Code Section 19510 states no person required to be licensed may participate in any capacity in any horse race meeting without a valid and unrevoked license. B&P Code Section 19520 provides that every person not required to be licensed who participates in, or has anything to do with the racing of horses shall be licensed by the Board pursuant to rules and regulations that the Board may adopt, and upon the payment of a license fee fixed and determined by the Board. Board Rule 1481, Occupational Licenses and Fees, categorizes the types of occupational licenses issued by the Board and lists the fees charged for such licenses. Racing associations contract with private security firms to provide security guards for graded stakes events. Graded stakes security guards provide around-the-clock surveillance at the stalls of horses entered in graded stakes races by conducting video surveillance and keeping written records. The guards are used on an "as needed" basis and may only be present on the backside sporadically; however, the Board has an interest in allowing only qualified persons onto the restricted areas of the racetrack, and while such security guards may hold California Department of Consumer Affairs certification, Horse Racing Law requires that the Board license all persons, other than the public at large, who participate in a horse racing meeting with pari-mutuel wagering. To ensure the integrity of the enhanced security program for graded stakes, the Board has determined that graded stakes security guards must be eligible for a Board-issued occupational license.

## ANALYSIS

The proposed amendment to Board Rule 1481 will add graded stakes security guard to the list of occupational licenses issued by the Board. A graded stakes security guard license would be issued for the period of one year, and could cost \$35 for the initial license, with renewals costing \$20.

## RECOMMENDATION

No comments were received during the 45-day public comment period. Staff recommends the Board adopt the amendment as presented.

CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 4. OCCUPATIONAL LICENSES  
PROPOSED AMENDMENT OF  
RULE 1481. OCCUPATIONAL LICENSES AND FEES

**1481. Occupational Licenses and Fees.**

No person required to be licensed shall participate or attempt to participate in a race meeting without holding a valid license authorizing that participation.

(a) A person acting in any capacity within the restricted area of an inclosure, simulcast facility or auxiliary stabling area shall procure the appropriate license(s) and pay the fee required.

(b) A person acting in any of the following capacities shall procure the appropriate license(s) and pay the fee required:

- (1) Horse Owner by Open Claim.....\$250
- (2) Officer, Director, Partner or any individual or person who holds 5% or more of the outstanding shares of a racing association, simulcast service supplier or totalizator company.  
\$200
- (3) Horse Owner .....\$150
- (4) Trainer, Assistant Trainer, Driver, Jockey, Apprentice Jockey, Jockey Agent .....\$150
- (5) Veterinarian .....\$150
- (6) Steward, Associate Steward, Steward (Veterinary Service), Simulcast Facility Supervisor, Assistant Simulcast Facility Supervisor, Racing Official, Administrative or Managerial personnel including General Manager of a racing association, simulcast organization or an intrastate guest association, who exercise control over other licensees, horse racing, pari-

mutuel wagering or simulcast operations, or whose duties routinely require access to restricted areas of the inclosure .....\$150

(7) Bloodstock Agent.....\$150

(8) Valet, Jockey Room or Drivers' Room Attendant or Custodian or Service Person, Colors Attendant, Paddock Attendant ..... \$75

(9) Assistant to a Racing Official or Official, Assistant General Manager of a racing association, simulcast organization or an intrastate guest association, Assistant Starter, Assistant to the Veterinarian, Assistant Manager, Announcer, Paymaster of Purses, Superintendent, Starting Gate Driver, Flagman, Marshal, Stewards' Aide ..... \$75

(10) Exercise Rider, Pony Rider, Outrider ..... \$75

(11) Horseshoer, Stable Agent, Vendor or Vendor's Employee when duties require access to the restricted area, Stable Foreman ..... \$75

(12) Pari-mutuel Employee, Totalizator Technician, Video Operator, Photofinish Operator. \$75

(13) Security Officer, Security Guard, Stable Gateman, Fire Guard, Security Investigator .. \$75

(14) Clerical Employee or Uncategorized Employee of a racing association, intrastate guest association, simulcast organization, simulcast service supplier, totalizator company, horsemen's organization or concessionaire when employed in a restricted area..... \$75

(c) A person acting in the capacity of Graded Stakes Security Guard, Groom, Stable Employee or Stable Assistant shall procure the appropriate annual license. The fee for an original license is \$35, and the annual renewal of license is \$20.

(d) A person acting in the capacity of Authorized Agent shall register an authorized agent agreement and registration of authorized agent and pay a fee of \$25 for each registration.

(e) A person or persons electing to conduct racing operations by use of a Stable Name shall register the Stable Name and pay a fee of \$300.

(f) A person or persons conducting racing operations as a syndicate or as a partnership having more than ten general partners or having one or more limited partners shall register the syndicate or partnership as a multiple ownership and pay a fee of \$300.

(g) A person participating in any capacity required to be licensed under this rule who participates or attempts to participate at a mule racing meeting shall procure an annual Mule Racing Participant license and pay an annual fee of \$25. A license for participating in a mule racing meeting is valid only at mule racing meetings and any license otherwise valid for horse racing meetings is not valid for mule racing meetings.

(h) A person whose license-identification card is lost, destroyed or mutilated shall procure a replacement license-identification card and pay a fee of \$15.

(i) A person who elects to participate in the Association of Racing Commissioners International (ARCI) Licensing Reciprocity Program shall pay the associated costs charged by the ARCI and the Federal Bureau of Investigation.

(j) The date the payment of the required fee is received and recorded by the Board is the effective date of issuance of a continuous occupational license for the capacity in which licensed. The fees required herein are for the entire period for which the issued license is to be valid.

Authority: Sections 19440, 19510, 19520 and 19703,  
Business and Professions Code.

Reference: Sections 19510, 19512 and 19704,  
Business and Professions Code.

STAFF ANALYSIS  
PROPOSED AMENDMENT OF  
RULE 1433  
APPLICATION FOR LICENSE TO CONDUCT  
A HORSE RACING MEETING

Regular Board Meeting  
February 22, 2007

## BACKGROUND

Business and Professions (B&P) Code Section 19440 provides that the California Horse Racing Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of Horse Racing Law. Responsibilities of the Board include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. B&P Code Section 19480 states the Board may issue licenses to conduct horse racing meetings. CHRB Rule 1433, Application for License to Conduct A Horse Racing Meeting, provides that every association or fair that intends to conduct a horse racing meeting must file a completed application with the Board at least 90 days prior to its proposed meeting. Forms CHRB-17, Application for License to Conduct a Horse Racing Meeting, and CHRB-18, Application for License to Conduct a Horse Racing Meeting of a California Fair, are incorporated by reference in Rule 1433; therefore, any revisions to the applications would necessitate an amendment to the rule. Assembly Bill (AB) 1180, Statutes of 2005, contains provisions that require every racing association and racing fair to maintain specified equipment and staff for an on-track first aid facility. In addition, certain qualified and licensed medical personnel must be on duty at all times during live racing. Further, every racing association and racing fair would be required to adopt and maintain plans and contact with area hospitals to coordinate procedures for emergency hospital treatment.

## ANALYSIS

The proposed amendment to Rule 1433 incorporates the requirements of AB 1180 by modifying the forms CHRB-17 and CHRB-18. The "Emergency Services" section of both forms (Sec. 13 of CHRB-17 and Sec. 12 of CHRB-18) were expanded to require the racetracks and racing fairs to describe their on-track first aid facilities, including equipment and medical staffing. In addition, racetracks and racing fairs must provide information regarding the licensed physician on duty during the race meeting and the hospital to be used in the event of an on track injury to a jockey. AB 1180 requires the racing associations and racing fairs to provide an emergency medical plan in English and Spanish, which shall be posted in the jockey room. The amendment to Rule 1433 requires racing associations and racing fairs to attach a copy of their emergency medical plans to their application for license. The proposed amendment also requires racetracks and racing fairs to name a health and safety manager and assistant manager responsible for compliance with AB 1180 health and

safety provisions. A "notice to applicant" which contains the text of B&P Code Section 19481.3 - one of the provisions modified by AB1180 - is included, as it states the specific details of what is required regarding emergency services. Additional changes to CHRB-17 and CHRB-18 include modifications to the "Purse Program" section (Sec. 5 of both forms), which require racing associations and racing fairs to provide the average daily purse for the current meeting and the prior meeting. This will allow for a more accurate and fair comparison between meetings. This is especially true where one meeting is of a different duration than another. Section 8 of both forms "Advance Deposit Wagering" was added to identify the ADW providers to be used during the meeting. All other changes to the CHRB-17 and CHRB-18 are for purposes of grammar and numbering.

#### RECOMMENDATION

No comments were received during the 45-day public comment period. Staff recommends the Board adopt the amendment as presented.

CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 3. RACING ASSOCIATION  
PROPOSED AMENDMENT OF  
RULE 1433. APPLICATION FOR LICENSE TO CONDUCT A  
HORSE RACING MEETING

Regular Board Meeting  
February 22, 2007

1433. Application for License to Conduct a Horse Racing Meeting.

Unless the Board requires an earlier filing, at least 90 days before the time allocated by the Board for a race meeting to start, the association shall file with the Board an Application for License to Conduct a Horse Racing Meeting, CHRB-17 (Rev. ~~07/05~~ 12/06), which is hereby incorporated by reference. Note: CHRB-17 incorporates by reference, the Personal History Record, CHRB-25A (Rev. 7/93). A California fair shall file with the Board an Application for License to Conduct a Horse Racing Meeting of a California Fair, CHRB-18 (Rev. ~~07/05~~ 12/06), which is hereby incorporated by reference. Copies of CHRB-17 and CHRB-18 may be obtained at the California Horse Racing Board headquarters office.

Authority: Sections 19420 and 19440,  
Business and Professions Code.

Reference: Sections 19480 and 19562,  
Business and Professions Code.



Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions (B&P) Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

**1. APPLICANT ASSOCIATION**

- A. Name, mailing address, telephone and fax numbers of association:
- B. Breed of horse: ☐ TB ☐ QH ☐ H
- C. Racetrack name:
- D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to B&P Code Section 19490.

**NOTICE TO APPLICANT:** Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

**2. DATES OF MEETING**

- A. Inclusive dates for the entire meeting:
- B. Actual dates racing will be held:
- C. Total number of days or nights of racing:
- D. Days or nights of the week races will be held:  
☐ Wed - Sun ☐ Tues - Sat ☐ Other (specify)
- E. Number of days or nights of racing per week:

**3. RACING PROGRAM**

- A. Total number of races:
- B. Number of races for each day or night:
- C. Total number of stakes races:
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.

**CHRB CERTIFICATION**

Application received:  
Deposit received:  
Reviewed:

Hearing date:  
Approved date:  
License number:

E. Will provisions be made for owners and trainers to use their own registered colors? PAGE 4 – 5  
☐ Yes ☐ No If no, what racing colors are to be used:

F. List all post times for the daily racing program:

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to B&P Code Section 19568(b).

#### 4. RACING ASSOCIATION

A. Association is a: ☐ Corporation (complete subsection C)  
☐ LLC (complete subsection D)  
☐ Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership.

##### C. CORPORATION

1. Registered name of the corporation:
2. State where incorporated:
3. Registry or file number for the corporation:
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
6. Number of outstanding shares in the corporation:
7. Are the shares listed for public trading? ☐ Yes ☐ No  
 If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
10. Attach the most recent audited annual financial statement for the licensee, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission. The licensee may submit the audited consolidated annual financial statements of its parent owner if the parent owner is a publicly traded company and guarantees the obligations of the licensee.

##### D. LLC

1. Registered name of the LLC:
2. State where articles of organization are filed:
3. Registry or file number for the LLC:
4. Names of all officers and directors, titles, and the number of shares of the LLC held by each:

5. Names (true names) of all members, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the LLC and the number of shares held by each:
6. Are the shares listed for public trading? ☐ Yes ☐ No  
If yes, on what exchange and how is the stock listed:
7. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
8. Attach the most recent audited annual financial statement for the licensee, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the LLC and/or the Securities and Exchange Commission and/or the California Corporations Commission.

E. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

F. Management and Staff

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 10B, who will be listed in the official program:
2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing address of such person(s) if other than the mailing address of the association:

5. PURSE PROGRAM

A. Purse distribution:

1. All races other than stakes:  
Current meet estimate:  
Prior meet actual:

Average Daily Purse (5A1 ÷ number of days):

Current meet estimate:

Prior meet estimate:

2. Overnight stakes:  
Current meet estimate:  
Prior meet actual:

Average Daily Purse (5A2 ÷ number of days):

Current meet estimate:

Prior meet estimate:

3. Non-overnight stakes:  
Current meet estimate:  
Prior meet actual:

Average Daily Purse (5A3 ÷ number of days):

Current meet estimate:

Prior meet estimate:

B. Stakes races:

1. Purse distribution for all stakes races:

Current meet estimate:

Prior meet actual:

Average Daily Purse (5B1 ÷ number of days):

Current meet estimate:

Prior meet estimate:

2. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate:

Prior meet actual:

Average Daily Purse (5B2 ÷ number of days):

Current meet estimate:

Prior meet estimate:

- C. Funds to be generated for all California-bred incentive awards:

Current meet estimate:

Prior meet actual:

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:

Prior meet actual:

Total

Total

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (5A+5C+5D):

Current meet estimate:

Prior meet actual:

Average Daily Purse (5E ÷ number of days):

Current meet estimate:

Prior meet estimate:

- F. Purse funds to be generated from on-track handle and intrastate off-track handle:

Current meet estimate:

Prior meet actual:

Average Daily Purse (5F ÷ number of days):

Current meet estimate:

Prior meet estimate:

G. Purse funds to be generated from interstate handle:

Current meet estimate:

Prior meet actual:

Average Daily Purse (5G ÷ number of days):

Current meet estimate:

Prior meet estimate:

H. Bank and account number for the Paymaster of Purses' purse account:

I. Name, address and telephone number of the pari-mutuel audit firm engaged for the meeting:

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by B&P Code Section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 6. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:
- B. Minimum number of stalls believed necessary for the meeting:
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers:
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by B&P Code Sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, skip to Section 7.

- F. Total number of usable stalls made available on-site for the 1986 meeting:
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:
- H. Estimated cost to provide vaning from off-site stalls for this meeting. Show fees to be paid for vaning per-horse:

## 7. **PARI-MUTUEL WAGERING PROGRAM**

- A. Pursuant to B&P Code Section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

|               | TYPE OF WAGERS    | APPLICABLE RULES    |
|---------------|-------------------|---------------------|
| Example Race: | \$1 E; \$1 Double | CHRB #1959; RCI #VE |

Race #1  
 Race #2  
 Race #3  
 Race #4  
 Race #5  
 Race #6  
 Race #7  
 Race #8  
 Race #9  
 Race #10  
 Race #11  
 Race #12  
 Race #13

- B. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool:
- C. List any options requested with regard to exotic wagering:
- D. Will "advance" or "early bird" wagering be offered? ☐ Yes ☐ No  
 If yes, when will such wagering begin:
- E. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:

## 8. **ADVANCE DEPOSIT WAGERING (ADW)**

- A. Identify the ADW provider(s) to be used by the association for this race meeting:

## 8 9. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering:
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
- C. California simulcast facilities the association proposes to offer its live audiovisual signal:
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:
- F. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

**NOTICE TO APPLICANT:** B&P Code Section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 23 imported thoroughbred races statewide. The limitation of 23 imported thoroughbred races per day statewide does not apply to those races specified in B&P Code Section 19596.2(a)(1), (2), (3) and (4).

### THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

| Name of Host Track | Race Dates | Full Card or Selected Feature and/or Stakes Races |
|--------------------|------------|---|
|--------------------|------------|---|

- G. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

### QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

| Name of Host Track | Race Dates | Full Card or Selected Feature and/or Stakes Races |
|--------------------|------------|---|
|--------------------|------------|---|

- H. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

**HARNESS SIMULCAST RACES TO BE IMPORTED**

|                    |            |   |
|--------------------|------------|---|
| Name of Host Track | Race Dates | Full Card or Selected Feature and/or Stakes Races |
|--------------------|------------|---|

- I. For ALL racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

**OTHER BREED SIMULCAST RACES TO BE IMPORTED**

|                    |                |            |                                |
|--------------------|----------------|------------|--------------------------------|
| Name of Host Track | Breed of Horse | Race Dates | Number of Races to be Imported |
|--------------------|----------------|------------|--------------------------------|

- J. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in B&P Code Sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of B&P Code Sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 5.)

**9 10 . CHARITY RACING DAYS**

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:
- B. Names and addresses of the trustees or directors of the distributing agent:
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? ☐ Yes

**NOTICE TO APPLICANT:** Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of B&P Code Section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 20% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of B&P Code Section 19556(b).



**10 11. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT**

- A. Racing officials nominated:
  - Association Veterinarian(s)
  - Clerk of Scales
  - Clerk of the Course
  - Film Specialist
  - Horse Identifier
  - Horseshoe Inspector
  - Paddock Judge
  - Patrol Judges
  - Placing Judges
  - Starter
  - Timer
- B. Management officials in the racing department:
  - Director of Racing
  - Racing Secretary
  - Assistant Racing Secretary
  - Paymaster of Purses
  - Others (identify by name and title)
- C. Name, address and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

**11 12. SECURITY CONTROLS**

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:
  - 1. Attach a written plan for enhanced security for graded/stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention barns.

## 2. Detention Barns:

- A. Attach a plan for use of graded stakes or overnight races.
- B. Number of security guards in the detention barn area during a 24-hour period.
- C. Describe number and location of surveillance cameras in detention barn area.

## 3. TCO2 Testing:

- A. Number of races to be tested, and number of horses entered in each race to be tested.
- B. Plan for enhanced surveillance for trainers with high-test results.
- C. Plan for detention barns for repeat offenders.
- D. Number of security personnel assigned to the TCO2 program.

## C. Describe the electronic security system:

- 1. Location and number of video surveillance cameras for the detention barn and stable gate.

## D. For night racing associations. Describe emergency lighting system:

**12 13. EMERGENCY SERVICES**

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:
- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:
- C. Describe the on-track first aid facility, including equipment and medical staffing:
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting: (If quarter horse racing association see D(1):
  - 1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services:
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to B& P Code 19481.3(d):

**€ H.** Attach a fire clearance from the fire authority having jurisdiction over the premises.

**Đ I.** Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):

**E J.** Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall pursuant to B&P Code 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

### **13-14. CONCESSIONAIRES AND SERVICE CONTRACTORS**

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

### **14 15. ON-TRACK ATTENDANCE/FAN DEVELOPMENT**

A. Describe any promotional plans:

B. Number of hosts and hostesses employed for meeting:

C. Describe facilities set aside for new fans:

D. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen
2. Fans
2. Facilities in the restricted areas

### **15-16. SCHEDULE OF CHARGES**

- A. Proposed charges, note any changes from the previous year:
- Admission (general)
  - Admission (clubhouse)
  - Reserved seating (general)
  - Reserved seating (clubhouse)
  - Parking (general)

Parking (preferred)  
 Parking (valet)  
 Programs (on-track)  
 (off-track)

- B. Describe any "Season Boxes" and "Turf Club Membership" fees:
- C. Describe any "package" plans such as combined parking, admission and program:

#### **16 17. JOCKEYS/DRIVERS' QUARTERS**

- A. Check the applicable amenities available in the jockeys/drivers' quarters:
- |   |  |   |
|---|--|---|
| <input type="checkbox"/> Corners (lockers and cubicles) | How many   | <input type="text"/>                              |
| <input type="checkbox"/> Showers                        | <input type="checkbox"/> Steam room, sauna or steam cabinets | <input type="checkbox"/> Lounge area              |
| <input type="checkbox"/> Masseur                        | <input type="checkbox"/> Food/beverage service               | <input type="checkbox"/> Certified platform scale |
- B. Describe the quarters to be used for female jockeys/drivers:

#### **17 18. BACKSTRETCH EMPLOYEE HOUSING**

- A. Inspection of backstretch housing was completed by (name) \_\_\_\_\_ on (date) \_\_\_\_\_.
- B. Number of rooms used for housing on the backstretch of the racetrack:
- C. Number of restrooms available on the backstretch of the racetrack:
- D. Estimated ratio of restroom facilities to the number of backstretch personnel:

#### **18 19. TRACK SAFETY**

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:  feet.
- B. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
- C. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:
- D. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.
- E. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The

CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

## **19 20. DECLARATIONS**

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made).
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state):

**NOTICE TO APPLICANT:** Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

## **20 21. CERTIFICATION BY APPLICANT**

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Print Title

\_\_\_\_\_  
Date

## ADDENDUM

### Background and Ownership Information

**FULL DISCLOSURE:** By authority of Sections 19440 and 19480 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in order to allow an evaluation of the competency, integrity, and character of potential racetrack operator, contractor, subcontractor and concessionaire licensees of the California Horse Racing Board (CHRB), any applicant for such a license shall comply with the provisions set forth below. Where applicable, supply the requested information and submit with your application documents. (If necessary, attach additional pages showing the corresponding numbers for the questions you are answering.) If a question does not apply to you, so state with "N/A".

**NOTE:** All information contained in this Addendum may be disclosed pursuant to the California Public Records Act.

#### I. BACKGROUND INFORMATION

- A. **PERSONAL INFORMATION** - Application documents must include for each individual who is a director, officer, or partner in the application, or an owner of an interest in the applicant of 5% or more:
  - 1. Full name and any previous names or aliases;
  - 2. date of birth;
  - 3. physical description;
  - 4. business address and telephone number; and
  - 5. disclosure of employment, education and military history for the past 20 years or since the age of 18.
- B. **PERSONAL HISTORY** - Application documents must include a completed Personal History Record, CHRB-25A, for each individual named in Addendum Section I, A.
- C. **RELATIONSHIP** - The application documents must state, for each individual providing information under Addendum Section I, A, whether the individual is related to a member or an employee of the CHRB. A half-relationship or step-relationship is considered to be a familial relationship.
- D. **CORPORATIONS** - If the applicant is a corporation, the application documents must state:
  - 1. The state in which the applicant is incorporated; and
  - 2. name and address of the applicant's agent for service of process in California.
- E. **INDICTMENTS OR CONVICTIONS** - If the applicant is a corporation, the application documents must include a statement disclosing whether the corporation is presently or has ever been indicted or convicted of a criminal offense, e.g., felony or misdemeanor.
- F. **PENDING LEGAL PROCEEDINGS** - An applicant for a license to operate a racetrack must describe any pending legal proceedings of \$250,000 or more:
  - 1. To which the applicant, a director, officer, or partner of the applicant, or an individual who owns an interest in the applicant of 5% or more is a party; or
  - 2. that involves property owned by the applicant, a director, officer, or partner of the applicant, an individual who owns an interest in the applicant of 5% or more, or a related entity identified under Addendum Section I.
  - 3. Applicant must state the name of the court or agency before which the proceeding is or was pending, the case number, date the proceeding was instituted, and the names of the principal parties to the proceeding.

## II. OWNERSHIP

### A. IDENTIFICATION AND LOCATION - The application documents must include:

1. All names used by the applicant; and
2. name of the agent and the address and telephone number of the office of the applicant for service of process in California.

### B. BUSINESS STRUCTURE - The application documents must describe the applicant's business structure and include an organizational chart.

### C. ORGANIZERS - If the applicant is not an individual and was organized less than five years before the date on which the application documents are submitted to the CHRB, the application documents must state:

1. Name of each individual who was an organizer or promoter of the applicant;
2. nature and amount of assets, services, or other consideration contributed to the applicant by an organizer or promoter of the applicant; and
3. nature and amount of anything of value given by the applicant to an organizer or promoter of the applicant.

### D. ORGANIZATIONAL DOCUMENTS

#### 1. If the applicant is a corporation, the application documents must include:

- a. Statement of when and in what state the corporation was organized;
- b. certified copy of the articles of incorporation and bylaws of the applicant;
- c. statement and documentation of whether the corporation has been reorganized or reincorporated during the five-year period preceding the date on which the application documents are submitted to the CHRB; and
- d. statement and documentation of whether the corporation has filed restated articles of incorporation.

#### 2. If the applicant is an unincorporated business association, the application documents must include:

- a. Certified copy of each organizational document for the applicant, including any partnership agreement; and
- b. description of any oral agreements involving the organization of the partnership.

### E. CAPITOL STOCK

#### 1. If the applicant is authorized to issue capital stock, the application documents must state the classes of stock authorized and the total shares of each class authorized.

#### 2. For each class of stock, applicant must also state:

- a. Par value, if any;
- b. voting rights;
- c. current rate of dividend; and
- d. number of shares outstanding and the market value of each share.

#### 3. Application documents must list the name and address of each person who owns, of record or beneficially, at least 5% of stock. For each person listed under this subsection, the application documents must describe the nature of the person's ownership interest and the person's percentage of the total ownership interest.

#### 4. Application documents must include a certified copy of each voting trust or voting agreement in which at least 5% of the capital stock is held and must state:

- a. Name and address of each stockholder participating in the trust or agreement;

- b. class of stock involved; and
- c. total number of shares held by the trust or agreement.

F. DIRECTORS, OFFICERS, AND PARTNERS

1. If the applicant is not an individual, the application documents must include a list of the individuals who are serving or who are designated to serve, during the first year after the date the application documents are submitted to the CHRB, as a director, officer, or partner of the applicant. The list must state for each individual:
  - a. Name and business address;
  - b. each position or office of the applicant held by the individual;
  - c. principal occupation during the five-year period preceding the date on which the application documents are submitted to the CHRB; and
  - d. nature and extent of any ownership interest in the applicant.
2. Application documents must include a completed Personal History Record, CHRB-25A, for each individual named under Addendum Section II, F, 1.

G. CONTROLLING ENTITY

1. Application documents must state whether another entity exercises or is in a position to exercise control in the management or financial affairs of the applicant. The documents must describe the nature of the relationship between the entity and the applicant and the extent of control exercised by the entity.
2. If a nonindividual entity owns an interest of 5% or more in the applicant, the application documents must include the information required by Addendum Section II, G, 1, as it relates to the nonindividual entity.
3. Application documents must include information required by Addendum Section II, G, 2, for each nonindividual entity identified in the application documents to the extent necessary to determine the identity of each individual who is an indirect holder of an ownership interest in the applicant.

H. OUTSIDE INTERESTS AND LICENSE HISTORY - Application documents must state whether the applicant or a director, officer, or partner of the applicant:

1. Ever held an ownership interest in a licensee of the CHRB; or
2. is currently engaged in the business of racing in another state.



**APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF A CALIFORNIA FAIR**

CHRB-18 (Rev. 7/05 Rev. 12/06)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions (B&P) Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

**1. APPLICANT FAIR ASSOCIATION**

A. Name, mailing address, telephone and fax numbers of fair:

B. Fair association is a: ☐ District Fair ☐ County Fair ☐ Citrus Fruit Fair

☐ California Exposition and State Fair ☐ Other qualified fair

**NOTICE TO APPLICANT:** Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

**2. DATES OF RACE MEETING**

A. Inclusive dates of race meeting:

B. Dates racing will NOT be held:

C. Total number of racing days:

**3. RACING PROGRAM**

A. Total number of races:

B. Number of races by breed:

☐ Thoroughbreds ☐ Quarter Horses ☐ Appaloosas  
☐ Arabians ☐ Paints ☐ Mules

C. Number of races daily:

|              | Sunday | Monday | Tuesday | Wednesday | Thursday | Friday | Saturday |
|--------------|--------|--------|---------|-----------|----------|--------|----------|
| Thoroughbred |        |        |         |           |          |        |          |
| Other Breeds |        |        |         |           |          |        |          |
| Total        |        |        |         |           |          |        |          |

**CHRB CERTIFICATION**

Application received:  
Reviewed:

Hearing date:  
Approved date:  
License number:

## D. Total number of stakes races by breed:

|                      |               |                      |                |                      |            |
|----------------------|---------------|----------------------|----------------|----------------------|------------|
| <input type="text"/> | Thoroughbreds | <input type="text"/> | Quarter Horses | <input type="text"/> | Appaloosas |
| <input type="text"/> | Arabians      | <input type="text"/> | Paints         | <input type="text"/> | Mules      |

## E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

## F. Will provisions be made for owners and trainers to use their own registered colors?

Yes  No      If no, what racing colors are to be used:

## G. List all post times for the daily racing program:

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

**4. FAIR ASSOCIATION**

## A. Names of the fair directors:

## B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program:

## C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 9B, who will be listed in the official program:

**5. PURSE PROGRAM**

## A. Purse distribution:

## 1. All races other than stakes:

Current meet estimate:

Prior meet actual:

Average Daily Purse (5A1 ÷ number of days):Current meet estimate:Prior meet estimate:

## 2. Overnight stakes:

Current meet estimate:

Prior meet actual:

Average Daily Purse (5A2 ÷ number of days):Current meet estimate:Prior meet estimate:

## 3. Non-overnight stakes:

Current meet estimate:

Prior meet actual:

Average Daily Purse (5A3 ÷ number of days):

Current meet estimate:

Prior meet estimate:

- B. Funds to be generated for all California-bred incentive awards:

Current meet estimate:

Prior meet actual:

- C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:

Prior meet actual:

CTT

TOC

NTRA

PCQHRA

CWAR

ARAC

AMRA

CHBPAPEN

CTHF

Total

Total

- D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (5A+5B+5C):

Current meet estimate:

Prior meet actual:

Average Daily Purse (5D ÷ number of days):

Current meet estimate:

Prior meet estimate:

- E. Purse funds to be generated from on-track handle and intrastate off-track handle:

Current meet estimate:

Prior meet actual:

Average Daily Purse (5E ÷ number of days):

Current meet estimate:

Prior meet estimate:

- F. Purse funds to be generated from interstate handle:

Current meet estimate:

Prior meet actual:

Average Daily Purse (5F ÷ number of days):

Current meet estimate:

Prior meet estimate:

- G. Bank and account number for the Paymaster of Purses' purse account:

**H. Name, address and telephone number of the pari-mutuel audit firm engaged for the meeting:**

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the fair and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by B&P Code Section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

**6. STABLE ACCOMMODATIONS**

- A. Number of usable stalls available for racehorses at the track where the meeting is held:
- B. Minimum number of stalls believed necessary for the meeting:
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers:
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by B&P Code Sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, skip to Section 7.

- F. Total number of usable stalls made available on-site for the **1986** meeting:
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:

**7. PARI-MUTUEL WAGERING PROGRAM**

- A. Pursuant to B&P Code Section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

|              | TYPE OF WAGERS    | APPLICABLE RULES    |
|--------------|-------------------|---------------------|
| Example Race | \$1 E; \$1 Double | CHRB #1959; RCI #VE |
| Race #1      |                   |                     |
| Race #2      |                   |                     |
| Race #3      |                   |                     |
| Race #4      |                   |                     |
| Race #5      |                   |                     |
| Race #6      |                   |                     |
| Race #7      |                   |                     |
| Race #8      |                   |                     |
| Race #9      |                   |                     |
| Race #10     |                   |                     |
| Race #11     |                   |                     |
| Race #12     |                   |                     |
| Race #13     |                   |                     |

- B. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool:
- C. List any options requested with regard to exotic wagering:
- D. Will "advance" or "early bird" wagering be offered? ☐ Yes ☐ No  
If yes, when will such wagering begin:
- E. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:

## **8. ADVANCE DEPOIST WAGERING (ADW)**

- A. Identify the ADW provider(s) to be used by the fair for this race meeting:

## **8.9. SIMULCAST WAGERING PROGRAM**

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:
- D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:

- F. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

**NOTICE TO APPLICANT:** B&P Code Section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 23 imported thoroughbred races statewide. The limitation of 23 imported thoroughbred races per day statewide does not apply to those races specified in B&P Code Section 19596.2(a)(1), (2), (3) and (4).

#### THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

| Name of Host Track | Race Dates | Full Card or Selected Feature and/or Stakes Races |
|--------------------|------------|---|
|--------------------|------------|---|

- G. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

#### OTHER BREED SIMULCAST RACES TO BE IMPORTED

| Name of Host Track | Breed of Horse | Race Dates | Number of Races to be Imported |
|--------------------|----------------|------------|--------------------------------|
|--------------------|----------------|------------|--------------------------------|

- H. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in B&P Code Sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s).

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific **written** approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of B&P Code Sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 5.)

### 9 10. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:  
 Association Veterinarian(s)  
 Clerk of Scales  
 Clerk of the Course  
 Film Specialist  
 Horse Identifier  
 Horseshoe Inspector  
 Paddock Judge  
 Patrol Judges  
 Placing Judges  
 Starter  
 Timer

- B. Management officials in the racing department:  
Director of Racing  
Racing Secretary  
Assistant Racing Secretary  
Paymaster of Purses  
Others (identify by name and title)
- C. Name, address and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
- E. Photopatro video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

## **10 11. SECURITY CONTROLS**

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:
1. Attach a written plan for enhanced security for graded/stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention barns.
  2. Detention Barns:
    - A. Attach a plan for use of graded stakes or overnight races.
    - B. Number of security guards in the detention barn area during a 24-hour period.
    - C. Describe number and location of surveillance cameras in detention barn area.
  3. TCO2 Testing:
    - A. Number of races to be tested, and number of horses entered in each race to be tested.
    - B. Plan for enhanced surveillance for trainers with high-test results.
    - C. Plan for detention barns for repeat offenders.

D. Number of security personnel assigned to the TCO2 program.

C. Describe the electronic security system.

1. Location and number of video surveillance cameras for the detention barn and stable gate.

#### **H 12. EMERGENCY SERVICES**

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

C. Describe the on-track first aid facility, including equipment and medical staffing:

D. Name and emergency telephone number of the licensed physician on duty during the race meeting:

E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:

G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to B& P Code 19481.3(d):

~~C. H.~~ Attach a fire clearance from the fire authority having jurisdiction over the premises.

~~D. I.~~ Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation.

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall pursuant to B&P Code 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

#### **12 13. CONCESSIONAIRES AND SERVICE CONTRACTORS**



Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

### **13. 14. ON- TRACK ATTENDANCE/FAN DEVELOPMENT**

- A. Describe any promotional plans:
- B. Number of hosts and hostesses employed for meeting:
- C. Describe facilities set aside for new fans:
- D. Describe any improvements to the physical facility in advance of the meeting that directly benefits:
  - 1. Horsemen
  - 2. Fans
  - 3. Facilities in the restricted areas

### **14 15. SCHEDULE OF CHARGES**

- A. Proposed charges, note any changes from previous year:
  - Admission (general)
  - Admission (clubhouse)
  - Reserved seating (general)
  - Reserved seating (clubhouse)
  - Parking (general)
  - Parking (preferred)
  - Parking (valet)
  - Programs (on-track)
  - (off-track)
- B. Describe any "Season Boxes" or other special accommodation fees:
- C. Describe any "package" plans such as combined parking, admission and program:

### **15-16. JOCKEYS' QUARTERS**

- A. Check the applicable amenities available in the jockeys' quarters:
 

|   |  |   |
|---|--|---|
| <input type="checkbox"/> Corners (lockers and cubicles) | How many   | <input type="text"/>                              |
| <input type="checkbox"/> Showers                        | <input type="checkbox"/> Steam room, sauna or steam cabinets | <input type="checkbox"/> Lounge area              |
| <input type="checkbox"/> Masseur                        | <input type="checkbox"/> Food/beverage service               | <input type="checkbox"/> Certified platform scale |
- B. Describe the quarters to be used for female jockeys:

### **16 17. BACKSTRETCH EMPLOYEE HOUSING**

- A. Inspection of backstretch housing was completed by (name) \_\_\_\_\_ on (date) \_\_\_\_\_.
- C. Number of rooms used for housing on the backstretch of the racetrack:
- D. Number of restrooms available on the backstretch of the racetrack:
- E. Estimated ratio of restrooms to the number of backstretch personnel:

**17 18. TRACK SAFETY**

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:  feet.
- B. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
- C. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:
- D. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.
- E. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

**18 19. DECLARATIONS**

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):
- D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state):

**NOTICE TO APPLICANT:** Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

**19-20. CERTIFICATION BY APPLICANT**

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Print Title

\_\_\_\_\_  
Date

STAFF ANALYSIS  
PROPOSED AMENDMENT OF  
RULE 1581.1. ENTRIES

Regular Board Meeting  
February 22, 2007

BACKGROUND

Business and Professions (B&P) Code Section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. B&P Code Section 19590 states the Board shall adopt rules governing, permitting, and regulating wagering on horse races under the system known as the pari-mutuel method of wagering. B&P Code 19562 provides that the Board may prescribe rules, regulations, and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California. Section 1581.1(a) states that a horse that has drawn a post position for any race to be run may not be entered for any subsequent race, other than for a stakes race. This statement has recently caused confusion, as some have indicated it may be interpreted to include stakes races in other racing jurisdictions.

ANALYSIS

The proposed amendment to Rule 1581.1 would clarify the intent of Subsection 1581.1(a) by using the term "California stakes races." Declaring that the stakes races in which horses that have been drawn for a post position may subsequently be entered are California stakes races will leave no room for misinterpretation.

RECOMMENDATION

No comments were received during the 45-day public comment period. Staff recommends the Board adopt the amendment as presented.

CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 18. PARI-MUTUEL WAGERING  
PROPOSED AMENDMENT OF  
RULE 1581.1. ENTRIES

Regular Board Meeting  
February 22, 2007

1581.1. Entries.

No person shall enter or attempt to enter a horse for a race unless such entry is a bonafide entry made with the intention that such horse is to compete in the race for which entry is made.

(a) No horse having been drawn for a post position for any race to be run shall be entered for any subsequent race, other than for a California stakes race, until or unless excused by the stewards from the race in which drawn for valid reason or until the race in which it has drawn has been run.

(b) No person shall attempt to enter or enter any horse for a race unless such person is authorized to do so in accordance with the rules.

(c) Every horse drawn in for a post-position in a race shall have a jockey or driver named to ride or to drive such horse before the draw is finalized and no jockey or driver shall be named to ride or to drive more than one such drawn horse in each race.

Authority: Sections 19440 and 19590,  
Business and Professions Code.

Reference: Sections 19440 and 19562,  
Business and Professions Code.

STAFF ANALYSIS  
PROPOSED AMENDMENT OF  
RULE 1606. COUPLING OF HORSES

Regular Board Meeting  
February 22, 2007

**BACKGROUND**

Business and Professions (B&P) Code Section 19420 provides that the Board shall have jurisdiction and supervision over meetings in this State where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings. B&P Code Section 19440 states the Board shall have all powers necessary and proper to enable it to carry out the purposes of this chapter. Responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. B&P Code Section 19562 provides the Board may prescribe rules, regulations, and conditions under which all horse races with wagering on their results shall be conducted in this State.

From December 2005 through August 2006 the Board reviewed the issue of coupling horses owned in whole or in part by the same owner, and in April 2006 the Board decided to conduct an experimental suspension of Rule 1606, Coupling of Horses. The experiment initially involved the thoroughbred race meetings at Hollywood Park and Bay Meadows Race Track. Horses owned in whole or in part by the same person(s) could be uncoupled if there were five or more wagering interests entered to race. At the June 2006 Regular Board Meeting the experiment was expanded to include the Los Alamitos Quarter Horse meeting. At that time a proposal to repeal Rule 1606 was noticed for public comment.

At the August 2006 Regular Board Meeting the Board heard reports from Hollywood Park and Bay Meadows regarding their experience with the temporary suspension of Rule 1606, and Los Alamitos submitted a written report. At the close of the discussion, Rod Blonien, representing Los Alamitos, testified that Los Alamitos' experience with the suspension of Rule 1606 was a success and wagering increased by a "significant" amount. At that time Los Alamitos urged the Board to continue the experiment, but the request was not acted on. Instead, the Board voted to discontinue the experiment and to keep Rule 1606 on the books.

At the October 2006 Regular Board Meeting Los Alamitos requested that the Board exempt quarter horse races from the coupling requirements of Rule 1606. Staff was instructed to draft an amendment to Rule 1606 that would exempt quarter horse races, and initiate a 45-day public comment period.

**RECOMMENDATION**

No comments were received during the 45-day public comment period. This item is presented for discussion and action by the Board.

CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 6. ENTRIES AND DECLARATIONS  
PROPOSED AMENDMENT OF  
RULE 1606. COUPLING OF HORSES

Regular Board Meeting  
February 22, 2007

1606. Coupling of Horses.

(a) Two or more horses shall be coupled as a single wagering interest and as an entry when such horses are owned in whole or in part by the same person or persons.

(b) Quarter horse races are exempt from subsection (a) of this regulation.

Authority: Sections 19420, 19440 and 19590,  
Business and Professions Code.

Reference: Section 19401,  
Business and Professions Code.

STAFF ANALYSIS  
ENFORCEMENT OF  
RULE 1690.1. TOE GRABS PROHIBITED

Regular Board Meeting  
February 22, 2007

BACKGROUND

Business and Professions (B&P) Code Section 19420 provides that jurisdiction and supervision over meetings in California where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings is vested in the California Horse Racing Board (Board). B&P Code Section 19562 states that the Board may prescribe rules, regulations and conditions under which all horse races with wagering on their results shall be conducted in California.

In February 2006 the Board added Rule 1690.1, Toe Grabs Prohibited, to prevent the use of toe grabs over four millimeters in height on thoroughbreds. However, thoroughbred farriers report that few manufacturers produce low toe grabs that meet the four-millimeter requirement. In addition, farriers stated shoes with jar calks could include toe grabs with a height greater than four millimeters. In light of these revelations, implementation of the regulation was delayed, and at the July 2006 Regular Board Meeting a proposed amendment to Rule 1690.1 was heard for adoption. The proposed amendment would increase the allowed height of toe grabs to five millimeters and authorize the use of toe grabs with jar calks under certain conditions. The Board did not adopt the proposed amendment.

The enforcement of Rule 1690.1 was discussed at the January 2007 meeting of the Board. At that meeting the Oak Tree Racing Association and Thoroughbred Owners of California spoke in favor of enforcing the regulation, and the California Thoroughbred Trainers voiced opposition to enforcement. The Board voted not to enforce the regulation, and directed staff to begin the process of repealing Rule 1690.1. Subsequent to the January meeting of the Board it has been requested that the enforcement of Rule 1690.1 be discussed further.

ANALYSIS

The full implementation of Rule 1690.1 has been delayed. Two reasons for the delay are questions regarding the necessity of the regulation, as few trainers are reported to be using toe grabs within the range reported to cause harm to horses, and the advent of synthetic racing surfaces. However, not all racetracks have installed synthetic racing surfaces, and horses continue to run on traditional dirt surfaces.

Vice-Chairman Harris provided the reviews of various studies regarding toe grabs, which are attached. A current copy of Rule 1690.1 is also included.

RECOMMENDATION

This item is presented for discussion and action.



CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 8. RUNNING THE RACE  
RULE 1690.1. TOE GRABS PROHIBITED

Regular Board Meeting  
February 22, 2007

1690.1. Toe Grabs Prohibited.

(a) Toe grabs with a height greater than four millimeters, worn on the front shoes of thoroughbred horses while racing, are prohibited.

Authority: Sections 19420 and 19562,  
Business and Professions Code.

Reference: Section 19481,  
Business and Professions Code.

During the Welfare and Safety of the Racehorse Summit in October, one of the subjects discussed was whether certain types of shoes created additional risk for injuries to horses, especially to race horses. Past studies funded by Grayson-Jockey Club Research Foundation have led many to believe that toe grabs, especially the longest type, on the front feet of race horses do, in fact, create greater incidence of musculoskeletal injury.

One of the committees growing out of the Summit is examining this subject as part of an overall look at how shoeing practices might be improved upon, with the safety of the horse the aim. (The Summit was coordinated and underwritten by the Foundation and The Jockey Club and was hosted by Keeneland.)

Following is a review of various research studies which have been conducted and which address the issue of shoe types. These summaries were compiled by Dr. A. C. Asbury, veterinary consultant of Grayson-Jockey Club Research Foundation, and were edited by Dr. Sue Stover of the University of California-Davis, one of the most accomplished researchers in the field. (Note: References to AJVR indicate *American Journal of Veterinary Research*.)

### **Evaluation of horseshoe characteristics and high-speed exercise history as possible risk factors for catastrophic - musculoskeletal injury in Thoroughbred racehorses**

Hernandez, JA; Scollay, MC; Hawkins, DL; Corda, JA; Krueger, TM: U. Florida, *AJVR*, Vol 66, no. 8, August 2005

**Objective** – To evaluate horseshoe characteristics and high-speed exercise history as risk factors for catastrophic musculoskeletal injury in Thoroughbred racehorses.

**Animals** – 377 horses (35,629 race starts).

**Procedures** – Shoe characteristics included material, toe grab height, heel traction device, pads and rim shoes. Racing variables were obtained from a computerized database. Forty-three horses that had a musculoskeletal injury and then failed to race or train for 6 months (cases) and 334 noninjured horses from the same races in which horses were injured (controls) were compared regarding risk factors.

**Results** – Overall, 98% of race starts were associated with aluminum shoes, 85% with toe grabs, 32% with pads and 12% with rims on forelimb horseshoes. Among 43 horses with musculoskeletal injury, sex (geldings), an extended interval since, and reduced exercise during the 30 or 60 days preceding injury were risk factors for catastrophic injury. Odds of injury in racehorses with toe grabs on front shoes were 1.5 times the odds of injury in horses without toe grabs, but this association was not significant (95% confidence interval, 0.5 to 4.1).

*In essence, if the sample size (i.e., number of horses) had been larger AND the results from the larger sample were similar to that of the smaller sample reported - the odds would have been statistically significant. Since severe and catastrophic injuries are rare (the good news), it is difficult to get a large enough sample size in most regional studies to be relatively certain the results will hold for all similar samples.*

**Conclusions and Clinical Relevance** – Results suggest that horses that return to racing after an extended period of reduced exercise are at high risk of catastrophic musculoskeletal injury. Results regarding the use of toe grabs as a possible risk factor for catastrophic injury were inconclusive because the probability of declaring (in error) that use of toe grabs was associated with an increased risk of musculoskeletal injury (e.g., odds ratio > 1.0) was 38%.

*Since the sample size (number of horses studied) was relatively small - the likelihood that the same results would be obtained from a different sample (study) are about 62%. So the results are more likely correct than incorrect. But you can't be sure at the level that is desired to be considered scientifically sound (95%).*



### **Observer variation in visual assessment of forelimb horseshoe characteristics on Thoroughbred racehorses**

Gross, DK; Stover, SM; Hill, AE; Gardner, IA: UC, Davis, *AJVR*, Vol 65, no. 12, December 2004

**Objective** – To assess the accuracy and reliability of a visual method of evaluating horseshoe characteristics.

**Animals** – 1,199 Thoroughbred racehorses.

**Procedure** – Characteristics of 1 forelimb horseshoe were visually assessed on horses immediately prior to racing by 5 field observers at 5 major racetracks in California. Characteristics evaluated included horseshoe type; toe grab height; and the presence of a rim, pad, and heel traction devices. Sensitivity and specificity for observer assessment of horseshoe characteristics were calculated by comparing observer assessments to a postmortem laboratory standard for horses that died within 48 hours of a race. Intraobserver agreement was assessed in a subset of horses by comparing horseshoe observations made before and after the horse's race. Interobserver agreement was evaluated by comparing horseshoe assessment among observers who examined the same subset of horses prior to racing on select days.

**Results** – The sensitivity and specificity of this visual method of evaluating horseshoe characteristics were good and ranged from 0.75 to 1 and 0.67 to 1, respectively. Agreement beyond chance (weighted kappa values) between observers and the laboratory standard for toe grab height was fair (0.60 to 0.62). Intraobserver and interobserver agreements (kappa values) were high (0.86 to 0.99 and 0.71 to 1, respectively).

**Conclusions and Clinical Relevance** – Visual observation of horseshoes can be a feasible and reproducible method for assessing horseshoe characteristics prospectively in a large cohort of horses under racing conditions.

### **Effects of injury to the suspensory apparatus, exercise, and horseshoe characteristics on the risk of lateral condylar fracture and suspensory apparatus failure in forelimbs of Thoroughbred racehorses**

Hill, AE; Gardner, LA; Carpenter, TE; Stover, SM; UC, Davis, *AJVR*, Vol 65, No. 11, November 2004 –

**Objective** – To assess concurrently the effects of moderate ligamentous suspensory apparatus injury (MLSAI), racing-speed exercise, and horseshoe characteristics on risk of catastrophic suspensory apparatus failure (SAF) or metacarpal condylar fracture (CDY) in forelimbs of racehorses.

**Sample Population** – Cadavers of 301 Thoroughbred racehorses (108 with SAF, 33 with CDY, and 160 control horses).

**Procedure** – A cross-sectional epidemiologic study was used to describe distributions and relationships between MLSAI, exercise, and horseshoe variables. Logistic regression was used to assess potential risk factors for developing SAF and CDY.

**Results** – Exercise variables were more highly associated with age than height of a steel bar affixed to the ground surface of the front of a horseshoe (i.e., toe grab) or sex.

*These findings are typical of studies that look simultaneously at several variables where the variables are related to one another. For example, horses that are exercised more intensely (i.e., further along in their training program) are more likely to have higher toe grabs. When one of the variables explains whether or not horses are injured, the other related variable tends to fall out of the results because the relationship was accounted for by the first variable.*

Marginal associations were detected between MLSAI and age and height of toe grab.

*'Marginal' refers to not quite making the 95% level of confidence we always try to achieve for statistical significance. In this study, the relationship achieved 92% level. That is, the higher the toe grab the greater the chances of having a mild ligamentous suspensory apparatus injury. Higher risk for developing SAF was associated with MLSAI,*

*It is important to understand that toe grabs are (at 92% level of confidence) associated with increased risk for mild ligamentous suspensory apparatus injury (MLSAI). And having MLSAI increases risk for suspensory apparatus failure (SAF). Once MLSAI is in the statistical model for SAF, toe grabs will not come in because they are related to MLSAI. However, because toe grabs are related to MLSAI and MLSAI is related to SAF - then toe grabs are related to SAF. use of a pad on a horseshoe, longer interval since last period of  $\geq 60$  days without a race or timed workout (i.e., layup), 2 to 5 career races, and higher intensity of recent exercise. Higher risk for developing CDY was associated with MLSAI, male horses, age between 2 and 5 years, higher intensity of recent exercise, and longer interval since layup.*

**Conclusions and Clinical Relevance** – Recognition of MLSAI and rehabilitation of affected horses should reduce incidence of SAF and CDY. Horses in long-term continuous training with recent high-intensity exercise are at greater risk for injury. Use of pads in horseshoes was associated with SAF, although the relationship may not be causal.

### **Underrun Heels and Toe-Grab Length as Possible Risk Factors for Catastrophic Musculoskeletal Injuries in Oklahoma Racehorses**

Olin K. Balch, DVM, MS, PhD; R. Gayman Helman, DVM, PhD, Diplomate ACVP; Michael A. Collier, DVM, Diplomate ACVS

Prevalence of underrun heels in 90 Oklahoma racehorses of different breeds examined postmortem by the Oklahoma Animal Disease Diagnostic Laboratory exceeded 97%. Severity of the underrun heels was significantly greater in racehorses experiencing catastrophic suspensory apparatus injuries than a control group whose deaths were not related to the musculoskeletal system. Lengths of toe grabs were not a significant potential risk factor in catastrophic suspensory apparatus injuries in this study. This research was performed while the authors were employed by the Department of Veterinary Clinical Sciences (Balch, Collier) and the Oklahoma Animal Disease Diagnostic Laboratory (Helman), College of Veterinary Medicine, Oklahoma State University, Stillwater, OK 47078.

**Study Population** – The study included 90 racehorses (56 Thoroughbreds, 28 Quarter Horses, 3 Appaloosas, and 3 Paint Horses) examined postmortem by the Oklahoma Animal Disease Diagnostic Laboratory (OADDL) for the Oklahoma Horse Racing Commission between 3 March 1999 and 26 November 2000. Toe-grab length measurements (reported as means and standard deviations) were not significantly different between non-CMI control horses (5.46  $\pm$  2.69 mm) and CMI (5.09  $\pm$  2.65 mm), SAF 1 Fx (3.93  $\pm$  2.59 mm), CCFx (5.66  $\pm$  2.47 mm), or SAF (4.45  $\pm$  2.65 mm) case horses. Importantly, the differences of the toe-grab lengths between the non-CMI controls and Oklahoma SAF cases were statistically insignificant (power 5 0.8 at - 30% or 1.5 mm).

Perhaps the most interesting part of this study was our inability to confirm the California findings that toe grabs were a potential risk factor for SAF.

*This study is the only one we are aware of that did not find trends in the same direction as the California and Florida studies. This study did include a substantial number of Quarter horses and other non-Thoroughbred horses.*

## Risk factors for and outcomes of noncatastrophic suspensory apparatus injury in Thoroughbred racehorses

Hill, AE; Stover, SM; Gardner, IA; Kane, AJ; Whitcomb, MB; Emerson, AG; UC, Davis, *JAVMA*, Vol. 218, No. 7, April 1, 2001

**Objective** – To evaluate effects of toe grabs, exercise intensity, and distance traveled as risk factors for sub-clinical to mild suspensory apparatus injury (SMSAI) in Thoroughbred racehorses and to compare incidence of severe musculoskeletal injury (MSI) in horses with and without SMSAI.

**Design** – Nested case-control study.

**Animals** – 219 Thoroughbred racehorses racing or in race training.

**Procedure** – Racehorses were examined weekly for 90 days to determine incidence of suspensory ligament injury and monitor horseshoe characteristics. Every horse's exercise speeds and distances were recorded daily. Conditional logistic regression was used to compare exposure variables between incident case (n = 25) and selected control (125) horses. Survival analysis was used to compare time to MSI for horses with (n = 41) and without (76) SMSAI.

**Results** – The best-fitting logistic model for the data included age (< 5 vs ≥ 5 years old), toe grab height the week of injury (none vs very low, low, regular, or Quarter Horse height), and weekly distance the week preceding injury (miles). Although the 95% confidence intervals for all odds ratios included 1, the odds for SMSAI appeared to increase with the presence of a toe grab, higher weekly distance, and age ≥ 5 years.

*If the sample size (number of horses studied) was larger AND the results for the larger sample were the same as the results of the sample studied, the magnitude of the statistical significance would be larger (more likely to be 'statistically significant').*

Horses that had SMSAI were significantly more likely to have a severe MSI or severe suspensory apparatus injury than were horses that did not.

**Conclusions and Clinical Relevance** - Results suggest that pre-existing SMSAI is associated with development of severe MSI and severe suspensory apparatus injury. Modifying training intensity and toe grab height for horses with SMSAI may decrease the incidence of severe MSI.

## Horseshoe characteristics as possible risk factors for fatal musculoskeletal injury of Thoroughbred racehorses

Kane, AJ; Stover, SM; Gardner, IA; Case, JT; Johnson, BJ; Read, DH; Ardans, AA; UC, Davis, *AJVR*, Vol 57, No. 8, August 1996

**Objective** - To evaluate selected shoe characteristics as risk factors for fatal musculoskeletal injury (FMI) and specifically for suspensory apparatus failure (SAF) and cannon bone condylar fracture (CDY) of Thoroughbred racehorses in California.

**Design** – Case-control study.

**Animals** – Thoroughbred racehorses (n = 201) that died or were euthanatized at California racetracks between August 1992 and July 1994.

**Procedure** – Shoe characteristics were compared between case horses affected by FMI (155), SAF (79), and CDY (41) and control horses that died for reasons unrelated to the appendicular musculoskeletal system (non-FMI; 46). Multivariable logistic regression was used to estimate odds ratios for FMI, SAF, and CDY.

**Results** – Toe grabs were identified as possible risk factors for FMI, SAF, and CDY. The odds of FMI, SAF, and CDY were 1.8, 6.5, and 7.0, respectively, times greater for horses shod with low toe grabs than for horses shod without toe grabs on front shoes. Horses shod with regular toe grabs on front shoes had odds 3.5, 15.6, and 17.1 times greater ( $P < 0.05$ ) for FMI, SAF, and CDY, respectively, compared with horses shod without toe grabs. *SS: Commonly quoted - achieved statistical significance.* The odds of horses shod with rim shoes were a third ( $P < 0.05$ ) of those shod without rim shoes for either FMI or SAF. The apparent association between toe grab type and CDY may, in part, be attributable to concurrent SAF and CDY injuries in many horses.

**Clinical Relevance** – Avoiding the use of toe grabs should decrease the incidence of FMI, especially SAF, in Thoroughbred racehorses. The use of rim shoes that are more consistent with natural hoof shape may decrease injury risk. (*Am J Vet Res* 1996;67: 1147-1152)

## Postmortem evaluation of homotypic variation in shoe characteristics of 201 Thoroughbred racehorses

Kane, AJ; Stover, SM; Gardner, IA; Case, JT; Johnson, BJ; O'Brien, MJ; Read, DH; Ardans, AA; UC, Davis, *AJVR*, Vol 57, No. 8, August 1996

**Objectives** – To develop a standard technique for evaluation of racehorse shoes, to assess homotypic variation (interlimb variation) in shoe characteristics, and to determine whether shoe characteristics varied with age and sex.

**Design** – Cross-sectional study.

**Animals** – Thoroughbred racehorses (n = 201) that died or were euthanatized at California racetracks between August 1992 and July 1994.

**Procedure** – Shoe characteristics were measured on horses examined after death. Percentage of agreement was used to compare shoe characteristics between limbs (homotypic variation). Using  $\chi^2$  analysis, shoe characteristics were compared between horses grouped by age and sex.

**Results** – Toe grabs were present on 90.5% of horses, and rim shoes were present on 15.9% of horses. Heel traction devices were less frequent on front (2.5%) than rear (6%) hooves. Pads were present on 24.9% of horses, with bonded rim pads most common. Special types of shoes were present on 5% of horses. Percentage of agreement between left and right front hooves and between left front and left rear hooves and between right front and right rear hooves was low (2/25 variables; % agreement 199). Presence of a pad was significantly ( $P < 0.05$ ) associated with age, and several shoe variables (size, presence of a special shoe, overall wear matched) were significantly ( $P < 0.05$ ) associated with sex.

**Clinical Relevance** – Except for variables related to special shoes, wear, and weight, 1 shoe for the respective fore- or hind limbs could be used as an indicator for the contralateral shoe worn by Thoroughbred racehorses without substantial loss of information. However, 1 shoe could not be used as an indicator for shoe characteristics of all 4 limbs. Some shoe characteristics are associated with age and sex, and these variables should be considered possible confounders in studies of shoe characteristics. (*Am J Vet Res* 1996;57:1141-1146)

STAFF ANALYSIS  
PROPOSED REPEAL OF  
RULE 1690.1. TOE GRABS PROHIBITED

ITEM 8  
PAGE 8 - 1

Regular Board Meeting  
February 22, 2007

## BACKGROUND

Business and Professions (B&P) Code Section 19420 provides that jurisdiction and supervision over meetings in California where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings is vested in the California Horse Racing Board (Board). B&P Code Section 19562 states that the Board may prescribe rules, regulations and conditions under which all horse races with wagering on their results shall be conducted in California.

In February 2006 the Board added Rule 1690.1, Toe Grabs Prohibited, to prevent the use of toe grabs over four millimeters in height on thoroughbreds. However, thoroughbred farriers report that few manufacturers produce low toe grabs that meet the four-millimeter requirement. In addition, farriers stated shoes with jar calks could include toe grabs with a height greater than four millimeters. In light of these revelations, implementation of the regulation was delayed, and at the July 2006 Regular Board Meeting a proposed amendment to Rule 1690.1 was heard for adoption. The proposed amendment would increase the allowed height of toe grabs to five millimeters and authorize the use of toe grabs with jar calks under certain conditions. The Board did not adopt the proposed amendment.

The enforcement of Rule 1690.1 was discussed at the January 2007 meeting of the Board. At that meeting the Oak Tree Racing Association and Thoroughbred Owners of California spoke in favor of enforcing the regulation, and the California Thoroughbred Trainers voiced opposition to enforcement. The Board voted not to enforce the regulation, and directed staff to being the process of repealing Rule 1690.1

## ANALYSIS

The repeal of Rule 1690.1 (Draft "A") would allow thoroughbred trainers to use toe grabs as they see fit. While the advent of synthetic racing surfaces and other factors has resulted in few trainers using such horseshoes, they would still be a legitimate option. Two arguments for repealing the regulation are: 1) questions regarding the necessity of the regulation, as few trainers are reported to be using toe grabs within the range reported to cause harm to horses; and 2) the advent of synthetic racing surfaces. However, synthetic racing surfaces have only been mandated for thoroughbred meetings of four or more weeks in duration. This means the fair circuit is exempt, and thoroughbred horses would be running on traditional dirt surfaces from June through October. An alternative to repealing Rule 1690.1 (Draft "B") is a proposed amendment that would prohibit the use of toe grabs on thoroughbred horses that run on traditional dirt racetracks. This would eliminate the issue of synthetic racing surfaces; yet prohibit toe grabs where research has clearly indicated they are a danger to horse and rider.

## RECOMMENDATION

This item is presented for discussion and action.

CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 8. RUNNING THE RACE  
PROPOSED REPEAL OF  
RULE 1690.1. TOE GRABS PROHIBITED

Regular Board Meeting  
February 22, 2007

~~1690.1. Toe Grabs Prohibited.~~

~~(a) Toe grabs with a height greater than four millimeters, worn on the front shoes of thoroughbred horses while racing, are prohibited.~~

~~Authority: Sections 19420 and 19562,  
Business and Professions Code.~~

~~Reference: Section 19481,  
Business and Professions Code.~~

**DRAFT B**

**CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 8. RUNNING THE RACE  
PROPOSED AMENDMENT  
RULE 1690.1. TOE GRABS PROHIBITED**

**Regular Board Meeting  
February 22, 2007**

**1690.1. Toe Grabs Prohibited.**

(a) Toe grabs with a height greater than four millimeters, worn on the front shoes of thoroughbred horses while racing on a traditional dirt racetrack, are prohibited.

**Authority:** Sections 19420 and 19562,  
Business and Professions Code.

**Reference:** Section 19481,  
Business and Professions Code.

**DRAFT B**



STAFF ANALYSIS  
PROPOSED ADDITION OF  
RULE 1414.5. RESOLUTION OF DISPUTES RELATING TO AGREEMENTS

Regular Board Meeting  
February 22, 2007

BACKGROUND

Business and Professions (B&P) Code Section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include adjudication of controversies arising from the enforcement of those laws and regulations dealing with horse racing and pari-mutuel wagering. B&P Code Section 19562 states the Board may prescribe rules, regulations and conditions under which all horse races with wagering on their results shall be conducted in this State. B&P Code Section 19401 provides the intent of Chapter 4 is to allow pari-mutuel wagering on horse races while assuring protection of the public and providing uniformity of regulation for each type of horse racing. There are a number of agreements that must be in place prior to the commencement of a horse racing meeting. It is not unusual for the parties to be in negotiations beyond the time for submission of an application for license to conduct a horse racing meeting, or even after the commencement of such meetings. While the majority of such negotiations are successfully concluded, there are occasions when controversies may arise that can affect the meeting. The dispute between Capitol Racing and Los Alamitos, or the dispute between Thoroughbred Owners of California and the advance deposit wagering provider TVG are examples of disputes that have involved the Board in the recent past.

ANALYSIS

The proposed addition of Rule 1414.5, Resolution of Disputes Relating to Agreements, will allow the parties to provide the Board with written notification of a dispute. Upon notification, the Board may impose a cooling off period of up to 15 days, during which time the allegations will be investigated and if it is deemed necessary, the alleged matters may be heard by the Board. After investigating and/or hearing the matter, the Board may make recommendations to the parties. For the purposes of Rule 1414.5, agreements necessary for the conduct of a horse racing meeting may include, but are not limited to, advance deposit wagering agreements, simulcast wagering agreements and horsemen's agreements.

RECOMMENDATION

This item is presented for discussion and action.

**CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 1. RACING BOARD POWERS AND JURISDICTION  
PROPOSED ADDITION OF  
RULE 1414.5. RESOLUTION OF DISPUTES RELATING TO AGREEMENTS**

**Regular Board Meeting  
February 22, 2007**

**1414.5. Resolution of Disputes Relating to Agreements.**

(a) A written notification alleging a dispute regarding agreements necessary for the conduct of a horse racing meeting may be filed at any time with the Board by any of the entities involved in such agreements. Upon receipt of such notification, the Board may impose a cooling off period of up to 15 days, during which time it shall investigate the allegations, and if it deems necessary, may hear the matters alleged. Upon the conclusion of such investigation and/or hearing, the Board may make recommendations to the entities regarding a course of action.

(b) For the purpose of this regulation “agreements necessary for the conduct of a horse racing meeting” may include, but are not limited to: advance deposit wagering agreements, simulcast wagering agreements and horsemen’s agreements.

**Authority:** Sections 19440 and 19562,  
Business and Professions Code.

**Reference:** Section 19401(a) and (e),  
Business and Professions Code.

# CALIFORNIA HORSE RACING BOARD

## FEBRUARY 22, 2007 REGULAR BOARD MEETING

There is no board package material for item 10.

**STAFF ANALYSIS  
REQUEST OF BAY MEADOWS ASSOCIATION  
TO DISTRIBUTE CHARITY DAY RACING PROCEEDS**

Regular Board Meeting  
February 22, 2007

**BACKGROUND**

Business and Professions (B&P) Code Section 19550 states the Board shall require each licensed racing association that conducts 14 or less weeks of racing to designate three racing days during any one meeting to be conducted as charity days by the licensee for the purpose of distribution of the net proceeds there from to beneficiaries through the distribution agent. B&P Code 19556 provides that the distributing agent shall make the distribution to beneficiaries qualified under this article. At least 50 percent of the distribution shall be made to charities associated with the horse racing industry.

**ANALYSIS**

The Bay Meadows Racing Association is requesting approval to distribute proceeds from charity day races conducted at Bay Meadows during its 2006 race meetings: May 10 through June 18; October 18 through December 18. The net proceeds from the charity days totaled \$40,099.31. The list of organizations selected and amount to be distributed is listed on the attached. Staff notes that 100% of the proceeds will be given to racing related organizations.

**RECOMMENDATION**

Staff recommends that the Board approve this request.



January 4, 2007

California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Attention: John Reagan

Bay Meadows Racing Association has determined the beneficiaries of proceeds from "Charity Day" races conducted at Bay Meadows during its 2006 Spring Race Meet, May 10<sup>th</sup> through June 18<sup>th</sup> and its 2006 Fall Race Meet, October 18<sup>th</sup> through December 18<sup>th</sup>. The net proceeds from the "Charity Days" totaled \$40,099.31. The list organizations selected to be the beneficiaries of the Charity Day proceeds and the amount to be distributed to each are listed below. Distributions will be made upon approval of the proposed amounts by the California Horse Racing Board.

|  |              |
|--|--------------|
| Northern California Council of the Racetrack Chaplaincy<br>Section 19556 (b) | 18,000.00    |
| Winners Foundation<br>Section 19556 (b)                                      | 5,000.00     |
| CTHF<br>Section 19641 (b)  | 8,500.00     |
| Disabled Riders Endowment<br>Section 19556 (c)                               | 8,599.31     |
| Total  | \$ 40,099.31 |

Please note that 100% of the distributions are to horse related charities. Should you have any questions regarding the above distributions, please do not hesitate to contact me.

Very truly yours,

F. J. Liebau  
President

# CALIFORNIA HORSE RACING BOARD

## FEBRUARY 22, 2007 REGULAR BOARD MEETING

There is no board package material for item number 12.

# CALIFORNIA HORSE RACING BOARD

## FEBRUARY 22, 2007 REGULAR BOARD MEETING

There is no board package material for item number 13.

# CALIFORNIA HORSE RACING BOARD

## FEBRUARY 22, 2007 REGULAR BOARD MEETING

There is no board package material for item number 14.



**STAFF ANALYSIS**  
**STAFF REPORT ON END-OF-MEET RESULTS**

**REGULAR BOARD MEETING**  
**FEBRUARY 22, 2007**

**Background:**

This item contains end-of-meet reports for the recently concluded race meets. Staff is prepared to answer questions regarding the information presented.

**Recommendation:**

These items are for information and discussion.

## END-OF-MEET OUTLINE SUMMARY

For the California Horse Racing Board Meeting, February 22, 2007. This report includes a summary for the following racing meeting: LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION.

**Los Alamitos**

December 26, 2005 – December 17, 2006

Race days: 201

## AVERAGE DAILY STATISTICS

|                              | PERCENTAGE CHANGE |
|------------------------------|-------------------|
| Ave. Daily Handle            | 2.72%             |
| Ave. On-Track                | -5.24%            |
| Ave. Off-Track               | -6.40%            |
| Ave. Out-Of-State            | 11.89%            |
| Ave. ADW                     | 16.50%            |
| Ave. Daily Attendance-Calif. | -4.69%            |
| Ave. On-Track                | -4.71%            |
| Ave. Off-Track               | -4.68%            |

# **LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION**

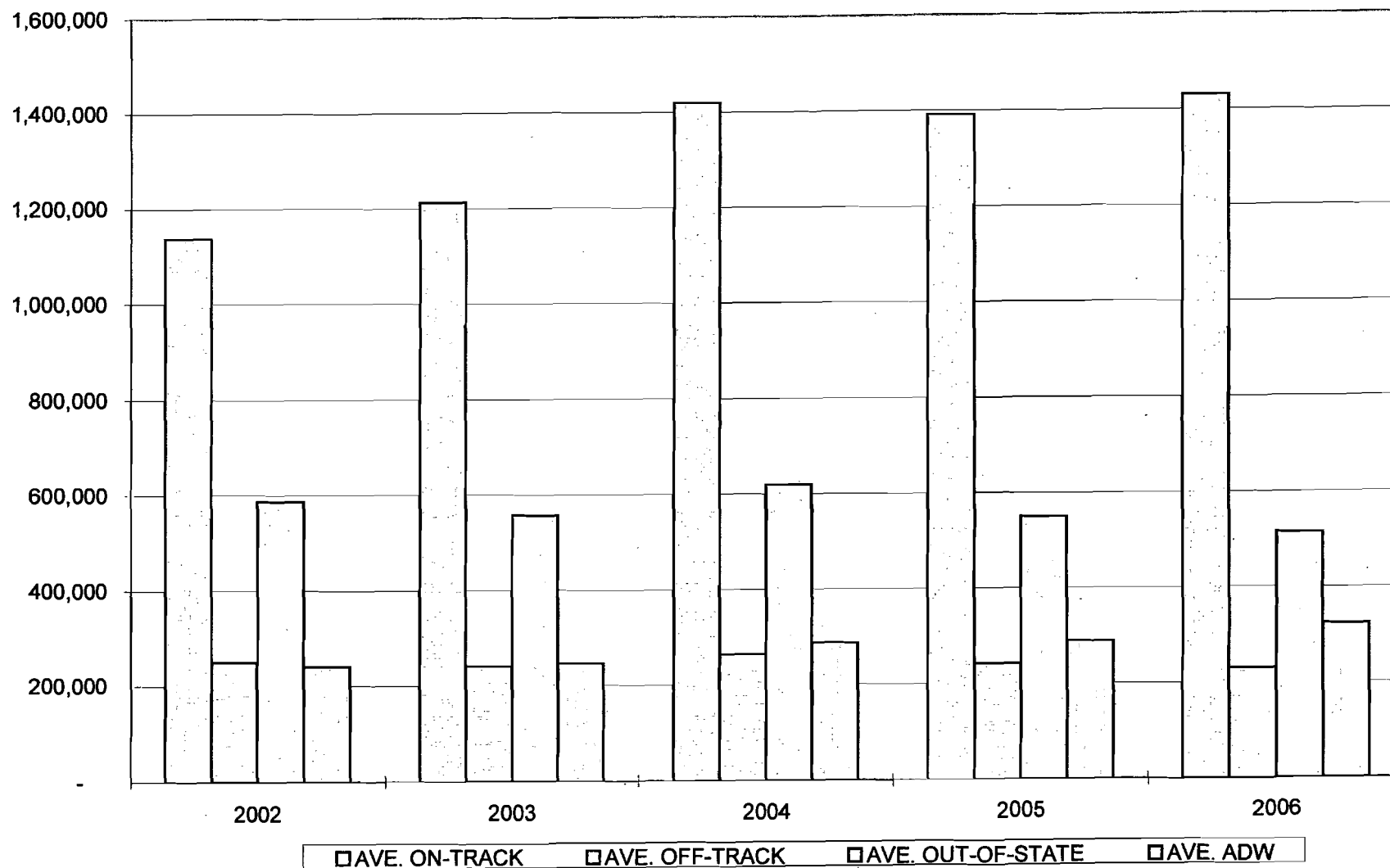
| YEAR                        | 2002        | 2003        | 2004        | 2005        | 2006        |
|-----------------------------|-------------|-------------|-------------|-------------|-------------|
| TOTAL RACE DAYS             | 203         | 205         | 203         | 200         | 201         |
| TOTAL HANDLE                | 231,008,823 | 248,566,350 | 288,033,128 | 278,393,511 | 287,407,446 |
| ON-TRACK                    | 50,601,828  | 49,227,352  | 53,460,467  | 48,390,966  | 46,083,325  |
| OFF-TRACK                   | 119,018,452 | 114,145,155 | 125,732,667 | 109,908,580 | 103,389,659 |
| OUT-OF-STATE                | 48,736,315  | 50,183,415  | 58,159,937  | 57,699,730  | 64,881,670  |
| ADW                         | 12,652,229  | 35,010,428  | 50,680,058  | 62,394,236  | 73,052,793  |
| LIVE                        | 176,476,305 | 197,754,967 | 228,335,435 | 232,683,058 | 245,148,883 |
| INTERSTATE IMPORTED         | 54,532,518  | 49,814,581  | 48,680,725  | 43,204,624  | 42,258,563  |
| INTERNATIONAL IMPORTED      | -           | 996,802     | 11,016,968  | 2,505,829   | -           |
| AVERAGE DAILY HANDLE        | 1,137,974   | 1,212,519   | 1,418,882   | 1,391,968   | 1,429,888   |
| AVE. ON-TRACK               | 249,270     | 240,133     | 263,352     | 241,955     | 229,270     |
| AVE. OFF-TRACK              | 586,298     | 556,806     | 619,373     | 549,543     | 514,376     |
| AVE. OUT-OF-STATE           | 240,080     | 244,797     | 286,502     | 288,499     | 322,794     |
| AVE. ADW                    | 62,326      | 170,783     | 249,655     | 311,971     | 363,447     |
| AVE. CALIF. DAILY HANDLE    | 835,568     | 796,939     | 882,725     | 791,498     | 743,647     |
| AVE. LIVE                   | 869,341     | 964,658     | 1,124,805   | 1,163,415   | 1,219,646   |
| AVE. INTERSTATE IMPORTED    | 268,633     | 242,998     | 239,807     | 216,023     | 210,242     |
| AVE. INTERNATIONAL IMPORTED | -           | 4,862       | 54,271      | 12,529      | -           |
| TOTAL TAKEOUT               | 46,663,782  | 50,068,864  | 58,668,028  | 56,756,484  | 58,447,424  |
| EFFECTIVE TAKEOUT           | 20.20%      | 20.14%      | 20.37%      | 20.39%      | 20.34%      |
| STATE LICENSE FEES          | 790,645     | 721,264     | 1,105,484   | 975,329     | 938,787     |
| STATE %                     | 0.34%       | 0.29%       | 0.38%       | 0.35%       | 0.33%       |
| TRACK COMMISSIONS           | 10,989,694  | 10,986,671  | 11,272,263  | 10,514,335  | 10,098,765  |
| ADW COMMISSIONS             | 493,821     | 1,599,290   | 2,328,405   | 2,840,121   | 3,239,782   |
| TOTAL COMMISSIONS           | 11,483,515  | 12,585,961  | 13,600,668  | 13,354,456  | 13,338,546  |
| TRACK %                     | 4.97%       | 5.06%       | 4.72%       | 4.80%       | 4.64%       |
| HORSEMEN'S PURSES           | 10,778,940  | 10,776,466  | 10,993,217  | 10,236,853  | 9,838,791   |
| ADW PURSES                  | 484,974     | 1,570,894   | 2,279,143   | 2,769,924   | 3,154,610   |
| TOTAL PURSES                | 11,263,914  | 12,347,360  | 13,272,360  | 13,006,777  | 12,993,400  |
| HORSEMEN'S %                | 4.88%       | 4.97%       | 4.61%       | 4.67%       | 4.52%       |

# **LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION**

| YEAR                      | 2002      | 2003    | 2004    | 2005    | 2006    |
|---------------------------|-----------|---------|---------|---------|---------|
| CALIFORNIA ATTENDANCE     | 1,008,107 | 976,851 | 946,480 | 910,434 | 872,107 |
| ON-TRACK                  | 286,157   | 285,396 | 261,405 | 251,335 | 240,698 |
| OFF-TRACK                 | 721,950   | 691,455 | 685,075 | 659,099 | 631,409 |
| DAILY ATTENDANCE          | 4,966     | 4,765   | 4,662   | 4,552   | 4,339   |
| AVERAGE DAILY ON - TRACK  | 1,410     | 1,392   | 1,288   | 1,257   | 1,198   |
| AVERAGE DAILY OFF - TRACK | 3,556     | 3,373   | 3,375   | 3,295   | 3,141   |
| TOTAL RACE EVENTS         | 1,903     | 2,048   | 1,886   | 1,920   | 1,919   |
| STARTS                    | 12,626    | 13,721  | 13,285  | 14,054  | 14,068  |
| AVERAGE STARTS PER EVENT  | 6.6       | 6.7     | 7.0     | 7.3     | 7.3     |
| AVERAGE HANDLE PER START  | 13,977    | 14,413  | 17,187  | 16,556  | 17,426  |

Chart1

# LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION



Average Daily Handle